

# Disjoint-set Data Structure for Equality Theory

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# Outline

- 1 Introduction
- 2 Disjoint-sets Abstract Machine
- 3 Data Structure
- 4 Concurrent Constraints
- 5 Conclusion

# Constraint System for the Equality Theory.

Let  $\mathcal{V}$  be a set of variables.

For every term  $\phi$ :

- $\text{fv}(\phi)$  denotes the set of free variables of  $\phi$ ;
- $\text{bv}(\phi)$  denotes the set of bound variables of  $\phi$ .

**Constraints**  $\mathcal{C} ::= \mathbf{1} \mid \underbrace{\mathcal{C} \wedge \mathcal{C} \mid \exists x(\mathcal{C})}_{\text{common to all constraint systems}} \mid x = y$

For  $\phi \in \mathcal{C}$ , we can always suppose that:

- $\text{fv}(\phi) \cap \text{bv}(\phi) = \emptyset$ ;
- each variable is bound at most one time.

**Axioms** In addition to logical axioms for  $\mathbf{1}$  and  $\wedge$  and  $\exists$ :

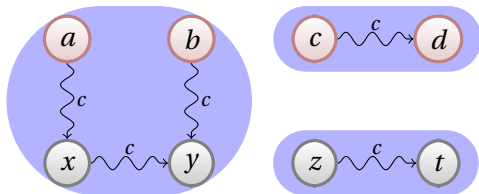
- $\vdash_{\mathcal{C}} x = x$ ;
- $x = y \vdash_{\mathcal{C}} y = x$ ;
- $x = y, y = z \vdash_{\mathcal{C}} x = z$ .

For every variable  $\phi$ , let  $\vec{x}$  enumerate  $\text{fv}(\phi)$ . We always have  $\vdash_{\mathcal{C}} \exists \vec{x}(\phi)$ .

# Equality Theory and Disjoint-sets.

For every constraint  $c$ , we denote  $x \rightsquigarrow_c y$  when  $x = y$  is a sub-term of  $c$ .

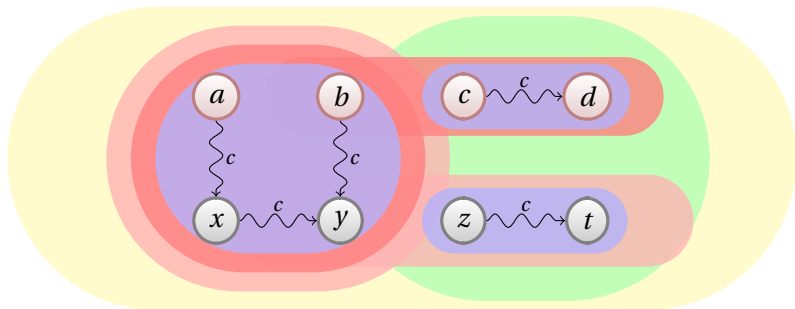
$$\exists xyz t (a = x \wedge b = y \wedge x = y \wedge c = d \wedge z = t)$$



## Definition

A partition  $\mathfrak{P}$  of  $\text{fv}(c)$  is a model for a constraint  $c$  if for every  $x, y \in \text{fv}(c)$  such that  $x \rightsquigarrow_c \dots \rightsquigarrow_c y$ , we have  $x$  and  $y$  in the same equivalence class of  $\mathfrak{P}$ .

# Model Ordering.

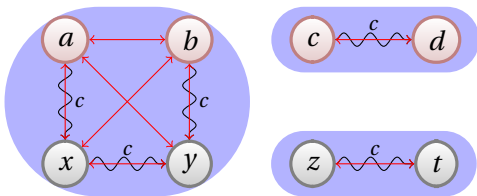


## Definition

$\mathfrak{P}_1 \sqsubseteq \mathfrak{P}_2$  when for every  $x$  and  $y$  belonging to the same equivalence class of  $\mathfrak{P}_1$ , we have  $x$  and  $y$  in the same equivalence class of  $\mathfrak{P}_2$ .

## Smallest Model.

For every constraint  $c$ , we denote  $x \approx_c y$  when there exists  $z$  such that  $x \rightsquigarrow_c \dots \rightsquigarrow_c z$  and  $y \rightsquigarrow_c \dots \rightsquigarrow_c z$ .



### Proposition

*For every constraint  $c$ ,  $\approx_c$  is an equivalence relation and the induced partition is the smallest model of  $c$ .*

### Proposition

*For every  $x, y \in \text{fv}(c)$ ,  $c \vdash_{\mathcal{E}} x = y$  if and only if  $x \approx_c y$ .*

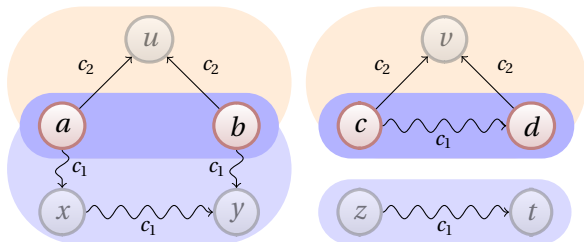
# Constraint Entailment.

$$\exists xyz t (a = x \wedge b = y \wedge x = y \wedge c = d \wedge z = t)$$

?

$$\vdash \not\subseteq$$

$$\exists uv (a = u \wedge b = u \wedge c = v \wedge d = v)$$



## Proposition

$c_1 \vdash c_2$  if and only if, for every  $x, y \in \text{fv}(c_2)$  such that  $x \approx_{c_2} y$ , we have  $x$  and  $y$  in the same equivalence class in every model of  $c_1$ .

It suffices to check in the inclusion between smallest models restricted to free variables.

# A Very Simple Logic Language.

## Program

$$\mathcal{P} ::= \overbrace{\forall \vec{x} ( \underbrace{\mathcal{C}}_{\text{hypotheses}} \Rightarrow \underbrace{\mathcal{C}}_{\text{query}} )}_{\text{closed term}}?$$

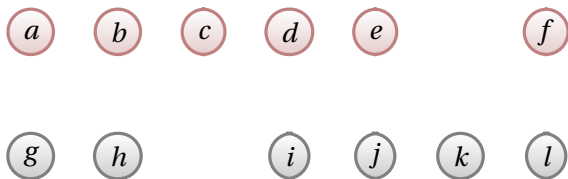
## Execution Scheme

- 1 Build the smallest model associated to the hypotheses.
- 2 Read the model to check whether the query is entailed.



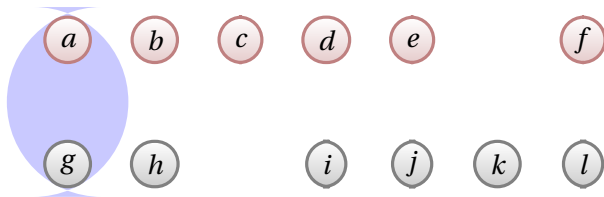
# Build the Smallest Model Associated to a Constraint.

$$C \hat{=} \exists g(g = a \wedge a = b) \wedge \exists ij(d = i \wedge e = j \wedge \exists hkl(i = h \wedge j = k \wedge f = l \wedge c = h)) \wedge d = e$$



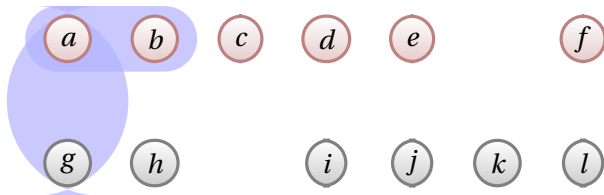
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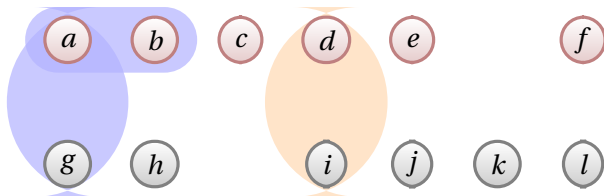
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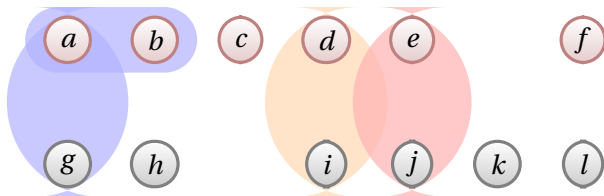
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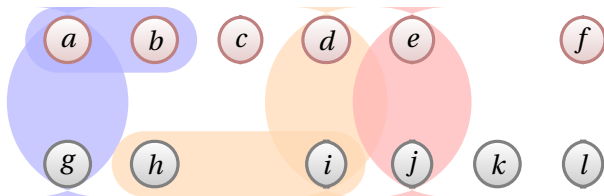
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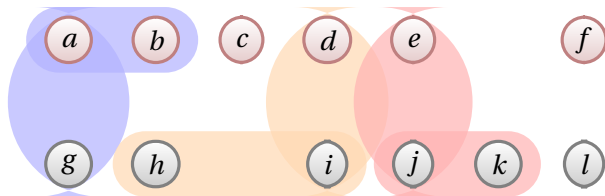
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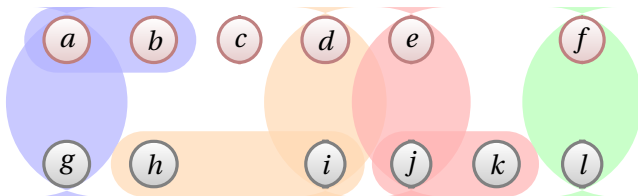
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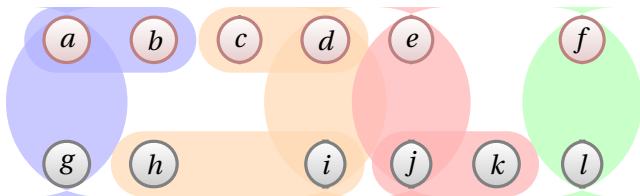
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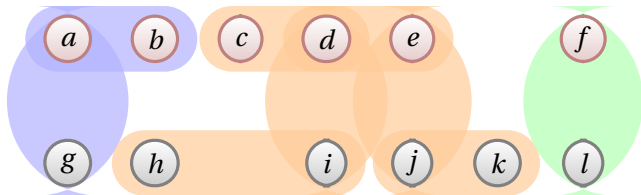
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Two op-codes

$$x \doteq y \text{ and } x \stackrel{?}{=} y$$

# Compiling Queries.

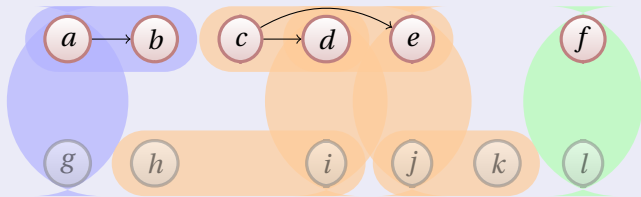
$$\mathcal{C}_0 ::= \mathbf{1} \mid \mathcal{C}_0 \wedge \mathcal{C}_0 \mid x = y$$

## Proposition

For every  $c \in \mathcal{C}$ , there is a computable  $\llbracket c \rrbracket \in \mathcal{C}_0$  such that  $c \dashv\vdash \llbracket c \rrbracket$ .

## Proof.

Computation.  $c \dashv\vdash a = b \wedge c = d \wedge c = e$



$c \dashv\vdash \llbracket c \rrbracket$ .  $c$  and  $\llbracket c \rrbracket$  have the same models.

□

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## Proof.

**Computation.** Let  $\mathfrak{P}$  be the smallest model of  $c$ .

$$\llbracket c \rrbracket \hat{=} \bigwedge_{\substack{P \in \mathfrak{P} \\ \#(P \cap \text{fv}(c)) \geq 2 \\ \{x\} \uplus V \hat{=} P \cap \text{fv}(c)}} \bigwedge_{y \in V} x = y$$

$c \dashv\vdash \llbracket c \rrbracket$ .  $c$  and  $\llbracket c \rrbracket$  have the same models.



## Extension to Rational Terms.

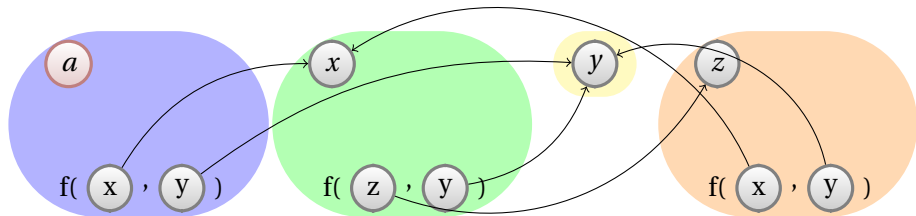
**Constraints**  $\mathcal{C} ::= \mathbf{1} \mid \underbrace{\mathcal{C} \wedge \mathcal{C} \mid \exists x(\mathcal{C})}_{\text{common to all constraint systems}} \mid x = y \mid x = f(\vec{y})$

**New Op-codes** Labelled equivalence classes.

- $\text{struct}(x, f(\vec{y}))$  to label an equivalence class, possible previous label must match and equality constraints on arguments are added.
- $\vec{y} \leftarrow \text{struct}_?(x, f)$  to check that  $x$  has the functor  $f$ , then extract arguments.

# Build the Code Associated to a Rational Tree Query.

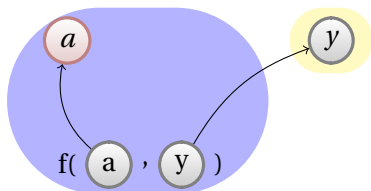
$$C \hat{=} \exists xyz(a = f(x, y) \wedge x = f(z, y) \wedge z = f(x, y))$$



- 1  $(x, y) \leftarrow \text{struct}_?(a, f)$
- 2  $(z, y_1) \leftarrow \text{struct}_?(x, f)$
- 3  $y_1 \stackrel{?}{=} y$
- 4  $(x_1, y_2) \leftarrow \text{struct}_?(z, f)$
- 5  $x_1 \stackrel{?}{=} x$
- 6  $y_2 \stackrel{?}{=} y$

# State Minimization.

$$C \hat{=} \exists xyz(a = f(x, y) \wedge x = f(z, y) \wedge z = f(x, y))$$



- 1  $(a_1, y) \leftarrow \text{struct?}(a, f)$
- 2  $a_1 \stackrel{?}{=} a$

# Equivalence Classes as Variable Trees.

Op-codes implementation:

$x \doteq y$  “link(find( $x$ ), find( $y$ ))”

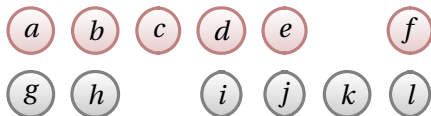
$x \stackrel{?}{=} y$  check whether “find( $x$ ) = find( $y$ )”

where:

find( $x$ ) Follows the arcs from  $x$  up to find the root. Root is returned.

link( $x, y$ ) Adds an arc from  $x$  to  $y$ . Prerequisite:  $x$  former root,  $y$  root.

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For a sequence of  $m$  operations, among which  $n$  are “link” operations, the total complexity is  $\Theta(mn)$ .



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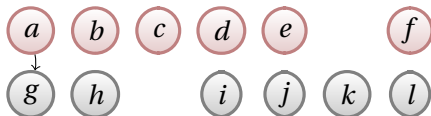
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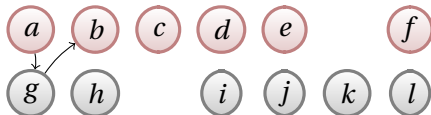
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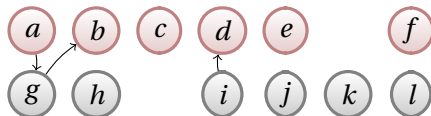
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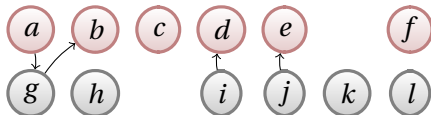
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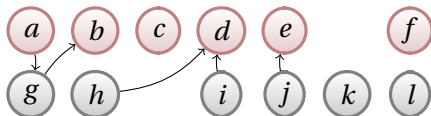
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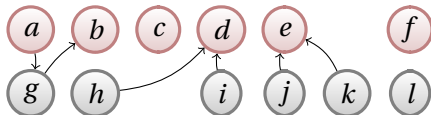
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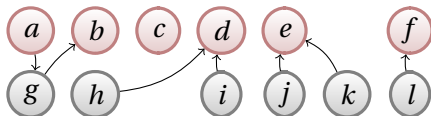
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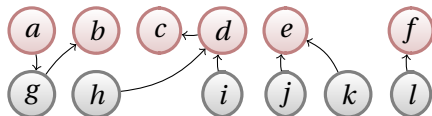
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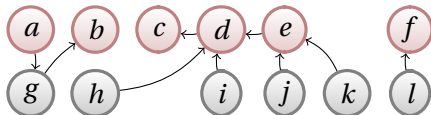
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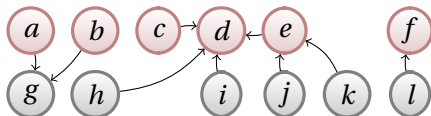
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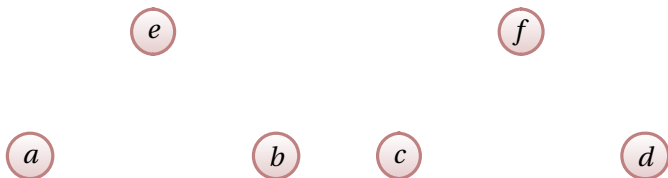
## Proposition

For a sequence of  $m$  operations, among which  $n$  are “link” operations, the total complexity is  $\Theta(mn)$ .

## Heuristic 1: Union by Rank.

Roots keep track (of an upper-bound) of tree heights.

$$C \hat{=} a = e \wedge b = e \wedge c = f \wedge d = f \wedge f = e$$



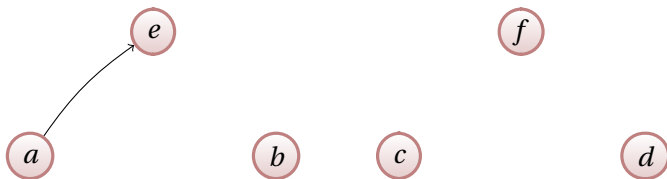
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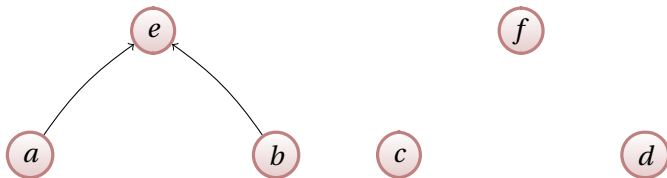
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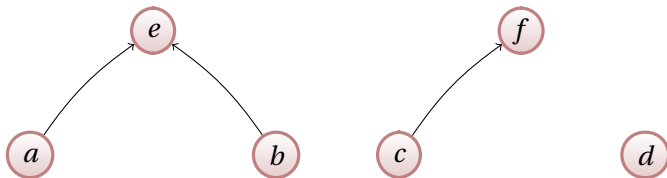
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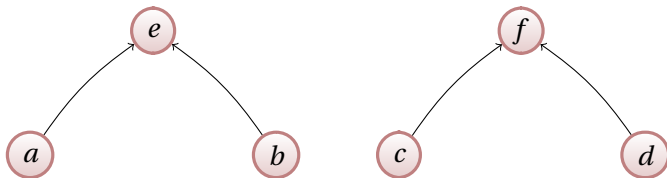
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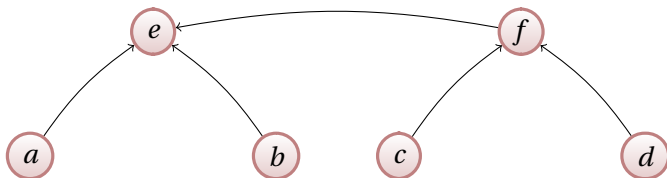
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## Heuristic 2: Path Compression.

Once “find” obtains the actual root, update the arc to directly point to it.

$$C \hat{=} b = a \wedge c = a \wedge d = a \wedge e = a \wedge f = a$$



### Proposition

*For a sequence of  $f$  “find” operations and  $n$  “link” operations, the total complexity is  $\mathbf{O}(n + f \cdot (1 + \log_{2+f/n} n))$ .*

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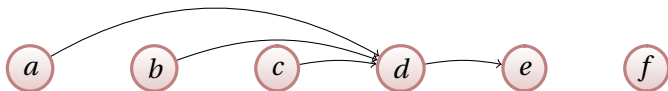
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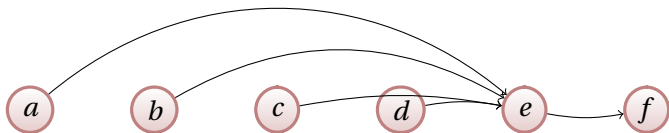
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# A very quickly growing function...

## Definition

For integers  $m, n \geq 0$ :

$$A(m, n) = \begin{cases} n + 1 & \text{if } m = 0, \\ A(m - 1, 1) & \text{if } m > 0 \text{ and } n = 0, \\ A(m - 1, A(m, n - 1)) & \text{if } m > 0 \text{ and } n > 0. \end{cases}$$

$m$	0	1	2	3	4	5
$A(m, 1)$	2	3	5	13	65533	$\underbrace{2^{2^{\dots^2}}}_{65536} - 3 \gg 10^{80}$

... and its very slowly growing inverse.

### Definition

For  $n \geq 0$ :

$$\alpha(n) = \min \{k \mid A(k, 1) \geq 1\}$$

For all practical purposes

$$\alpha(n) \leq 5$$



# Quasi-linear complexity.

## Proposition

*For a sequence of  $m$  operations, among which  $n$  are “link” operations, the total complexity is  $\mathbf{O}(m\alpha(n))$ .*

## Program

$$\mathcal{P} ::= \epsilon \mid p(\vec{x}) :- \mathcal{A} . \mathcal{P}$$

$$\mathcal{A} ::= (\mathcal{A} \parallel \mathcal{A}) \mid \exists x(\mathcal{A}) \mid p(\vec{x}) \mid \text{tell}(\mathcal{C}) \mid \underbrace{\forall \vec{x}(\mathcal{C} \rightarrow \mathcal{A})}_{(\exists \vec{x}(c) \rightarrow \exists \vec{x}(\text{tell}(c) \parallel a))}$$

## New Op-codes for Translating $cc(=)$

call  $p(\vec{x})$  / return Flow-control operations.

freeze( $x \stackrel{?}{=} y$ , Code) Ask on atomic constraints.

$$(a = b \wedge b = c \rightarrow A) \equiv (a = b \rightarrow (b = c \rightarrow A))$$

$$\rightsquigarrow \text{freeze}(a \stackrel{?}{=} b, \text{freeze}(b \stackrel{?}{=} c, \llbracket A \rrbracket))$$

# Conclusion

## Good things

- The entailment checker can be deduced from the smallest model of the constraint.
- Computing the smallest model offline allows to produce optimal code for the virtual machine (when used with minimization for rational terms).

## Open questions

- Better representation for the freeze on equalities.
- Extension with linear tokens.

Let  $f_1, \dots, f_n$  be closures in a lcc/RH program (with asks  $(\text{do}(f_1) \rightarrow a_1) \parallel \dots \parallel (\text{do}(f_n) \rightarrow a_n)$ ). If implemented naively,  $\text{tell}(\text{do}(x))$  leads to freezes on  $x \stackrel{?}{=} f_1, \dots, x \stackrel{?}{=} f_n$ .