



Lambda-Calculus (I)

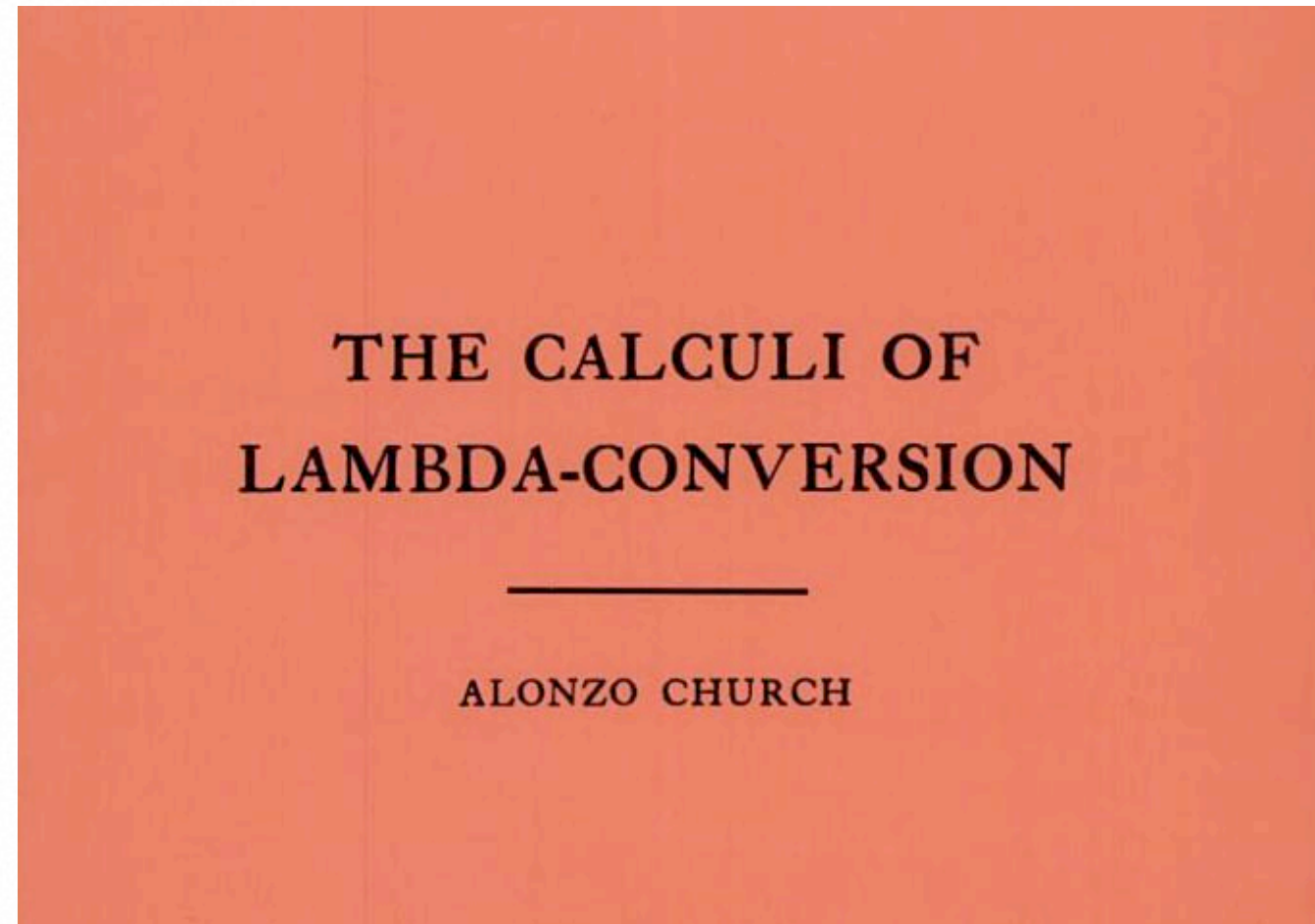
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2nd Asian-Pacific Summer School
on Formal Methods

Tsinghua University,
August 23, 2010

Plan

- computation models
- lambda-notation
- bound variables
- conversion rules
- reductions
- normal forms
- numeral systems
- lambda-definability



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Second Printing 1951

Barendregt, Henk, [The Lambda Calculus. Its Syntax and Semantics](#), Elsevier, 2nd edition, 1997.

Barendregt, Henk; Dezani, Mariangiola, [Lambda calculi with Types](#), 2010.

Models of computation

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Computation models

- [machines] automata theory -- **Turing** machines
- [character strings] formal grammars, Thue systems, **Post**
- [numbers] **Kleene** recursive functions theory
- [terms] **Church lambda-calculus**, term rewriting systems

Applications to logic

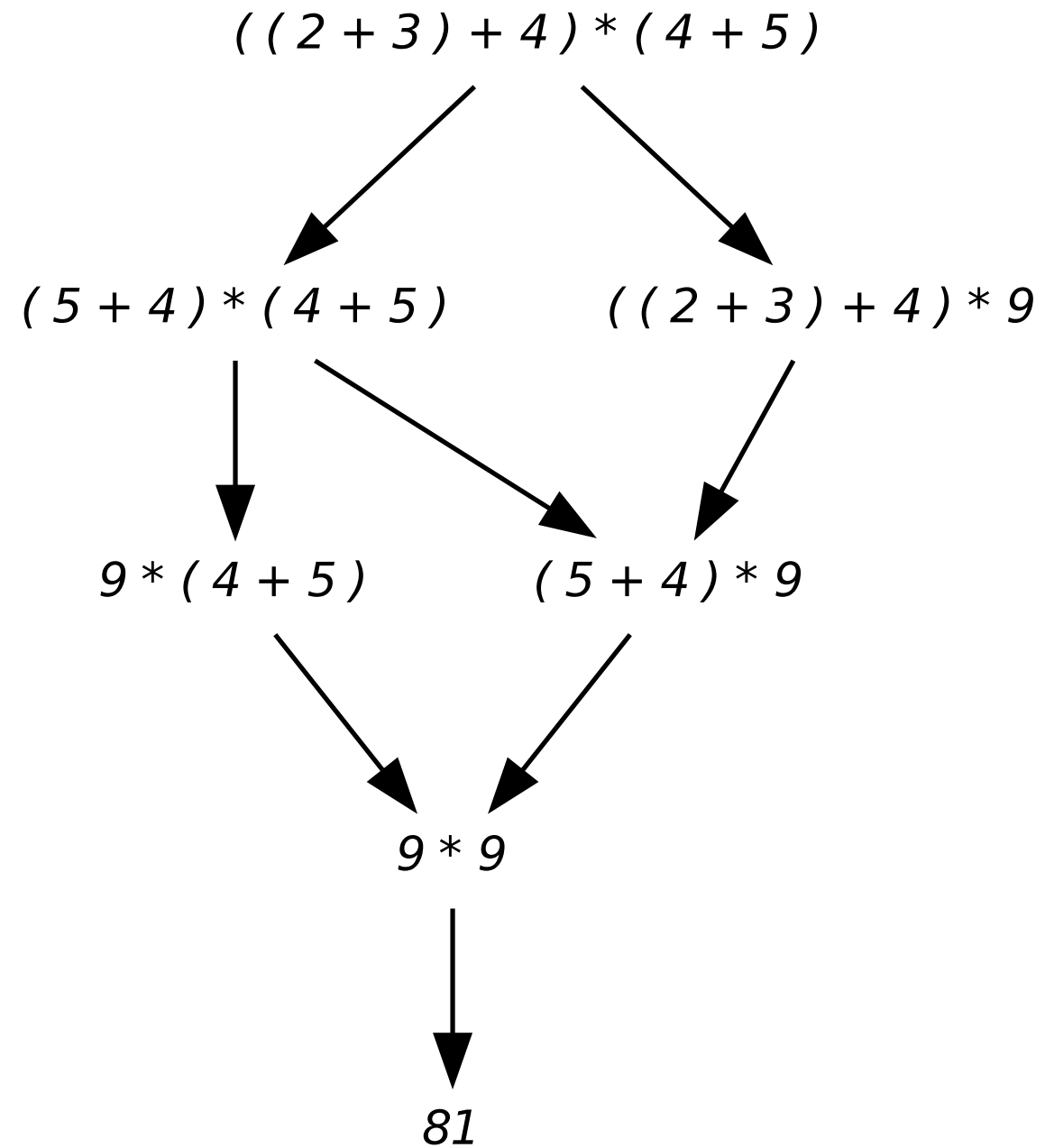
- [cut elimination] 2nd order arithmetic -- **Howard, Girard**
- [higher order dependent types] HOL, Isabelle, Coq -- **Coquand, Huet**

Computing with terms

$$2 + 3 \rightarrow 5$$

$$(2 + 3) + 4 \rightarrow 5 + 4 \rightarrow 9$$

$$((2 + 3) + 4) * (4 + 5) \rightarrow \dots$$



Computing with terms

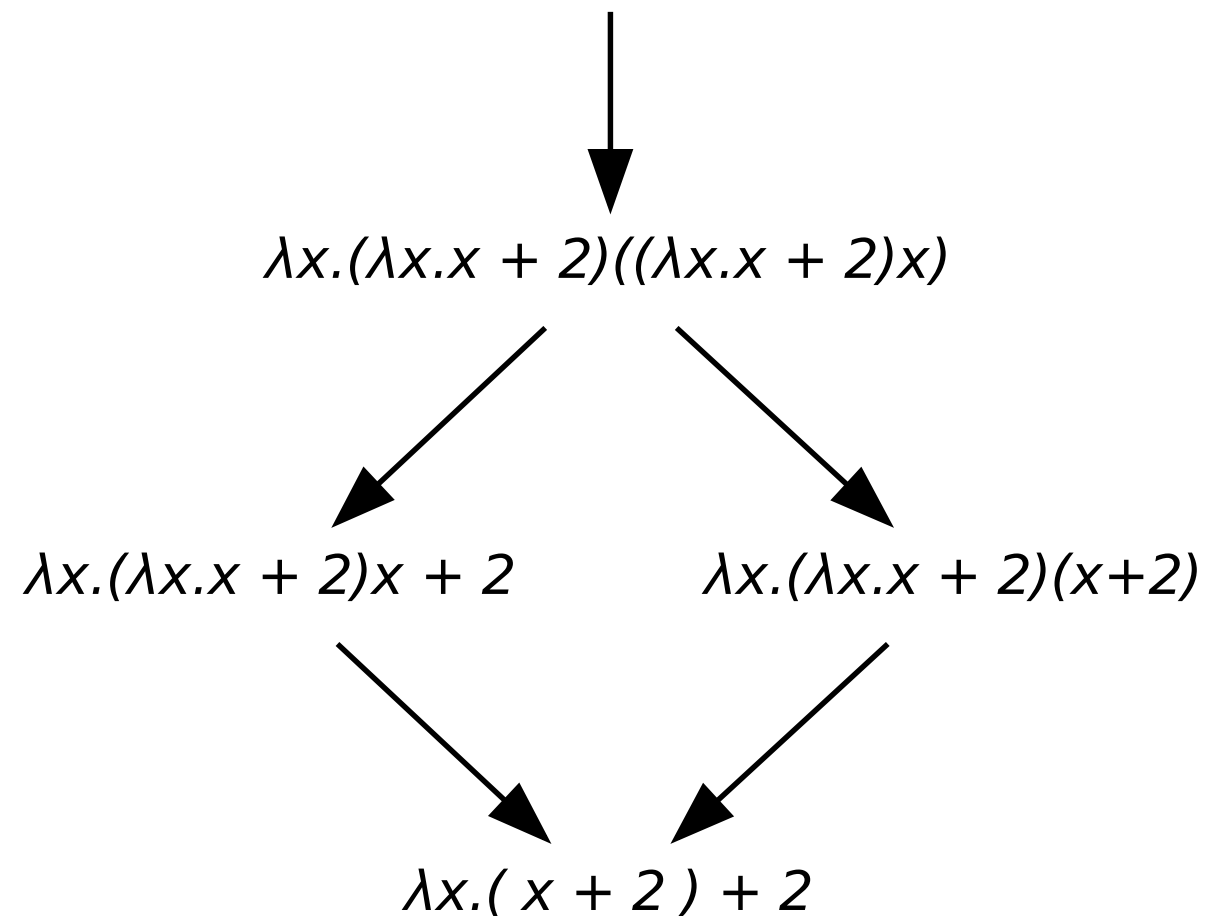
$$(\lambda x. x + 1)3 \rightarrow 3 + 1 \rightarrow 4$$

$$(\lambda x. 2 * x + 2)4 \rightarrow 2 * 4 + 2 \rightarrow 8 + 2 \rightarrow 10$$

$$(\lambda f. f3)(\lambda x. x + 2) \rightarrow (\lambda x. x + 2)3 \rightarrow 3 + 2 \rightarrow 5$$

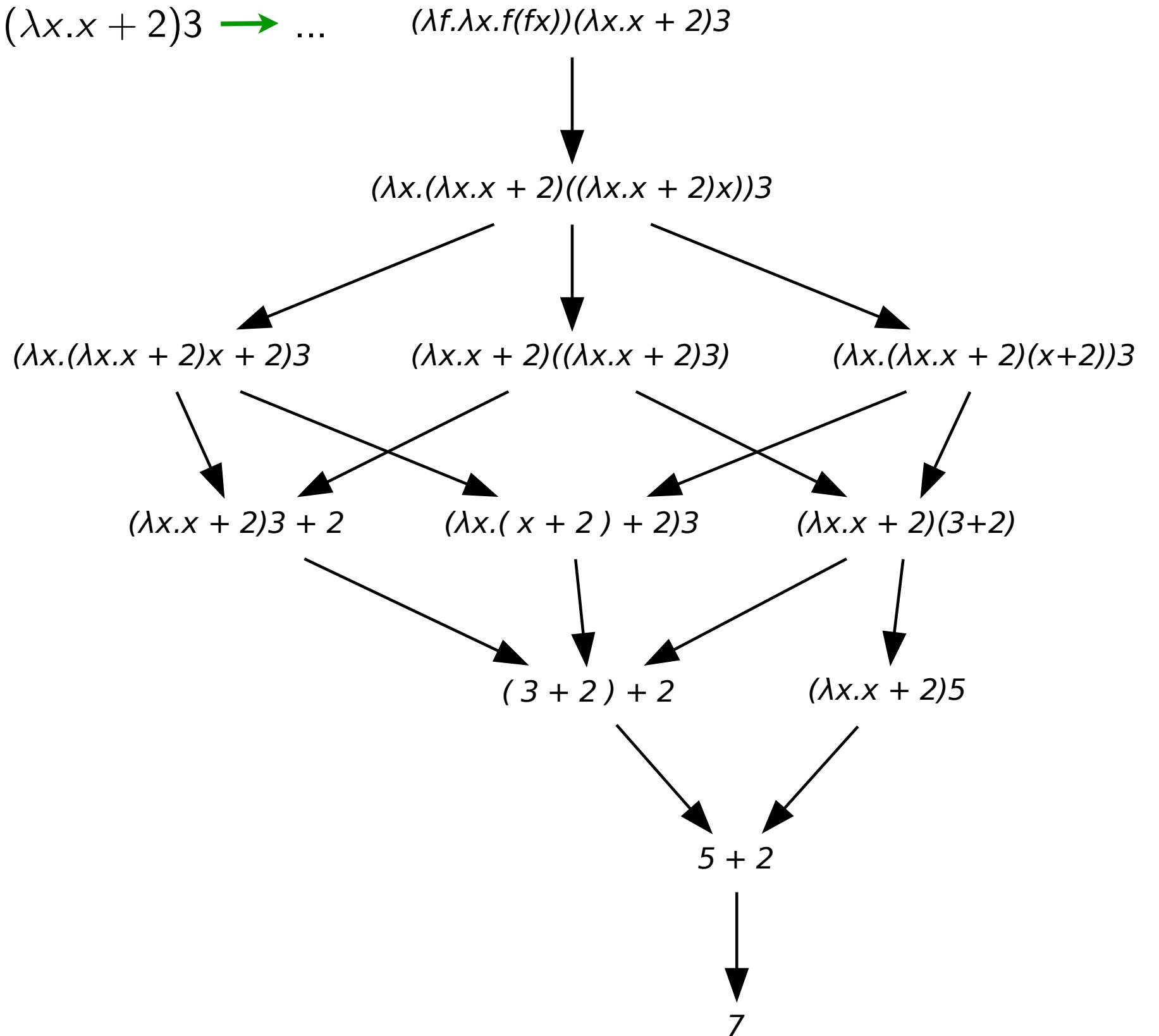
$$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2) \rightarrow ..$$

$$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)$$



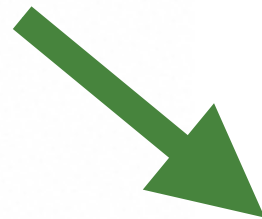
Computing with terms

$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)3 \rightarrow \dots$



Computation model

- define a **minimum** set
- no instructions, no states, only **expressions**
- no arithmetic
- just a calculus of **functions**
- functions applied to functions
- functions as results



interesting ?

λ -calculus

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The lambda-calculus

- Lambda terms

M, N, P	$::=$	x, y, z, \dots	(variables)
		$(\lambda x.M)$	(M as function of x)
		$(M N)$	(M applied to N)
		c, d, \dots	(constants)

- Calculations “reductions”

$$((\lambda x.M)N) \rightarrow M\{x := N\}$$

Abbreviations

$MM_1M_2 \cdots M_n$ for $(\cdots ((MM_1)M_2) \cdots M_n)$

$(\lambda x_1 x_2 \cdots x_n . M)$ for $(\lambda x_1 . (\lambda x_2 . \cdots (\lambda x_n . M) \cdots))$

external parentheses and parentheses after a dot may be forgotten

Exercise 1

Write following terms in long notation:

$\lambda x . x$, $\lambda x . \lambda y . x$, $\lambda xy . x$, $\lambda xyz . y$, $\lambda xyz . zxy$, $\lambda xyz . z(xy)$,

$(\lambda x . \lambda y . x)MN$, $(\lambda xy . x)MN$, $(\lambda xy . y)MN$, $(\lambda xy . y)(MN)$

Examples

$$(\lambda x.x)N \longrightarrow N$$

$$(\lambda f.f N)(\lambda x.x) \longrightarrow (\lambda x.x)N \longrightarrow N$$

$$(\lambda x.xx)(\lambda x.xN) \longrightarrow (\lambda x.xN)(\lambda x.xN) \longrightarrow (\lambda x.xN)N \longrightarrow NN$$

$$(\lambda x.xx)(\lambda x.xx) \longrightarrow (\lambda x.xx)(\lambda x.xx) \longrightarrow \dots$$

$$Y_f = (\lambda x.f(xx))(\lambda x.f(xx)) \longrightarrow f((\lambda x.f(xx))(\lambda x.f(xx))) = f(Y_f)$$

$$f(Y_f) \longrightarrow f(f(Y_f)) \longrightarrow \dots \longrightarrow f^n(Y_f) \longrightarrow \dots$$

Recapitulation

- calculus is more complex than expected
- looping expressions !!
- recursion operator seems definable
- when termination ?
- consistency ?
- computing power ?

Abstract syntax

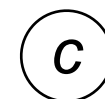
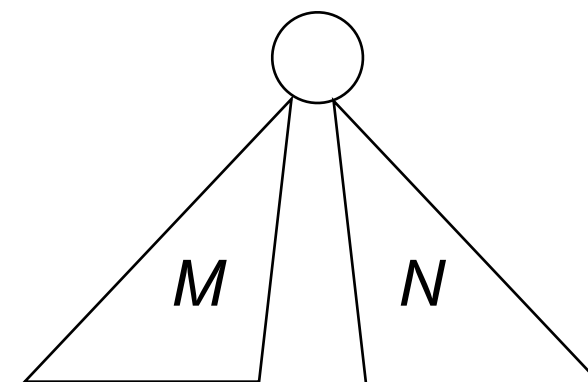
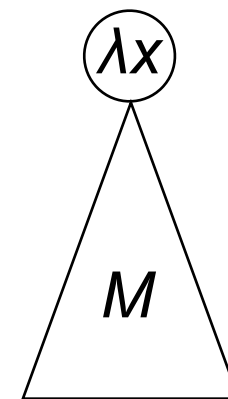
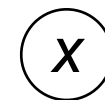
- The syntax of lambda-terms can be abstracted as:

$M, N, P ::= x, y, z, \dots$ (variables)

| $(\lambda x.M)$ (M as function of x)

| $(M N)$ (M applied to N)

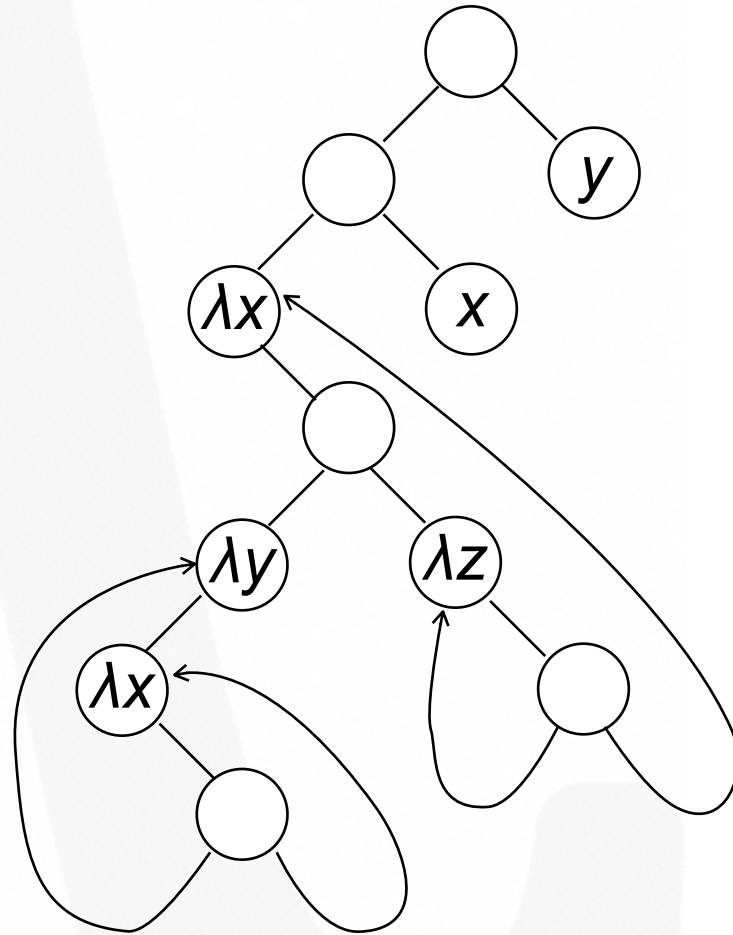
| c, d, \dots (*constants*)



Abstract syntax

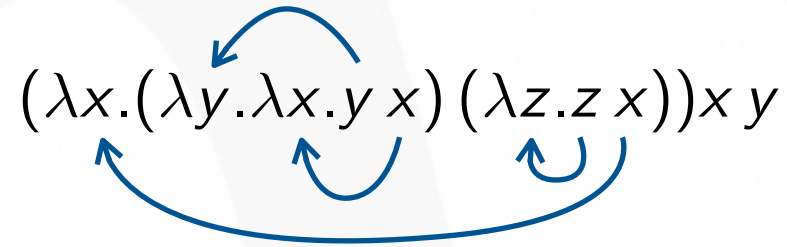
- Example: $(\lambda x. (\lambda y. \lambda x. y x) (\lambda z. z x)) x y$

is



Bound variables

$(\lambda x. (\lambda y. \lambda x. y x) (\lambda z. z x)) x y$



(rightmost x, y are free)

Exercise 2

- Show binders of bound variables in

$(\lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))) (\lambda x. \lambda y. x)$

$(\lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))) (\lambda f x y. x (f y))$

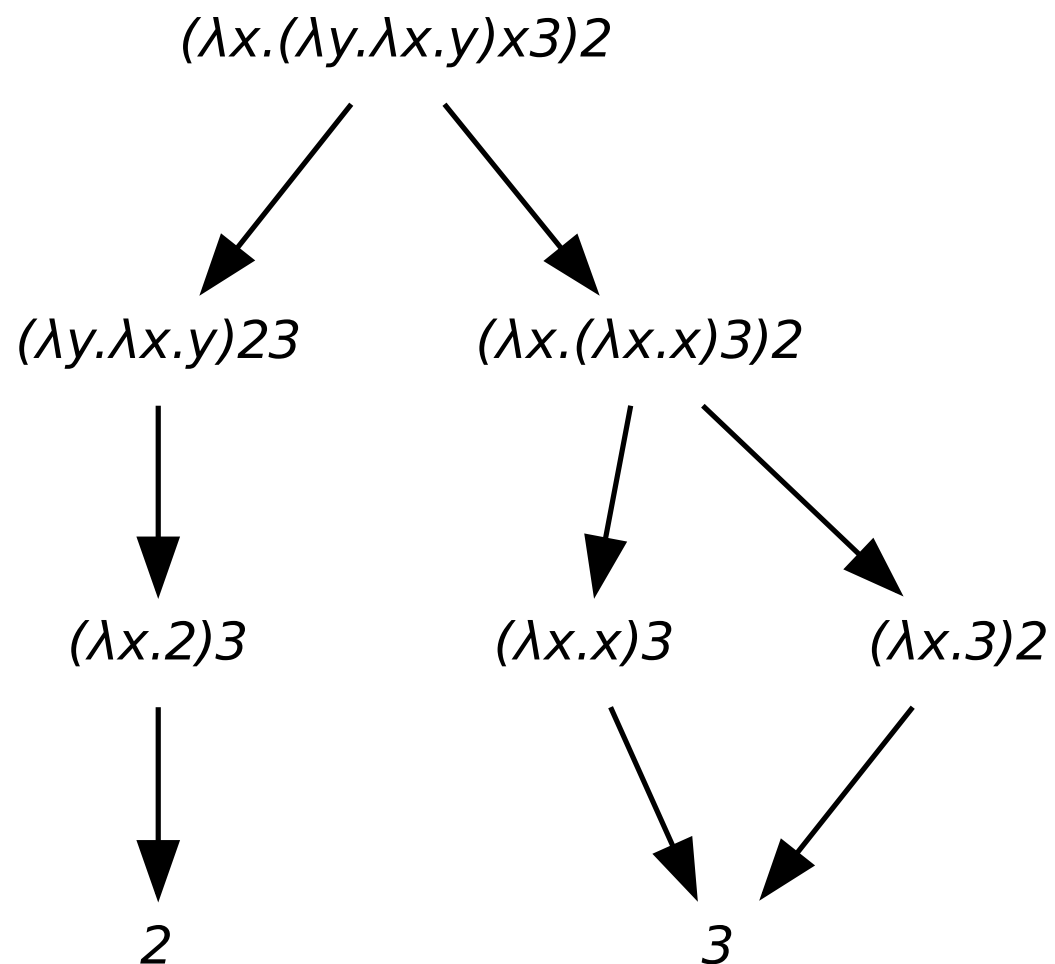
$(\lambda f. f((\lambda x. x) 3)) (\lambda x. \lambda y. x)$

Bound variables

$(\lambda y. \lambda x. y)x \rightarrow \lambda x. x$

incorrect

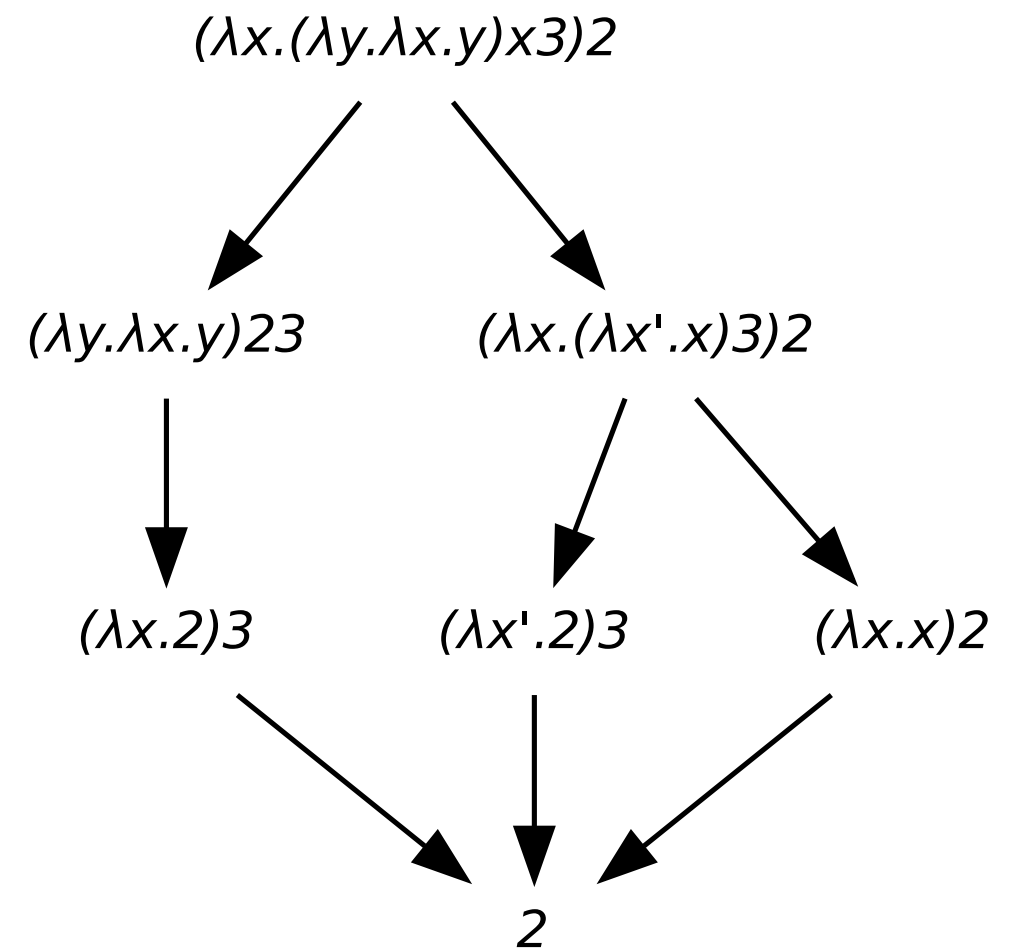
(dynamic binding: Lisp)



$(\lambda y. \lambda x. y)x \rightarrow \lambda x'. x$

correct

(lexical binding: Scheme)



Exercice 2bis Why Lisp is consistent ?

Bound variables

$$(\lambda y. \lambda x. y)x \rightarrow \lambda x'. x$$

$$(\lambda y. \lambda x. y)x =_{\alpha} (\lambda y. \lambda x'. y)x \rightarrow \lambda x'. x$$

- **renaming** of bound variables
- **names** of bound variables are **not important**
- standard in many other calculi

$$\int_0^{\pi/2} \cos(x) dx = \int_0^{\pi/2} \cos(x') dx'$$

$$\sum_{i=1}^9 a_i = \sum_{j=1}^9 a_j$$

$$\lambda x. x + 2 =_{\alpha} \lambda y. y + 2$$

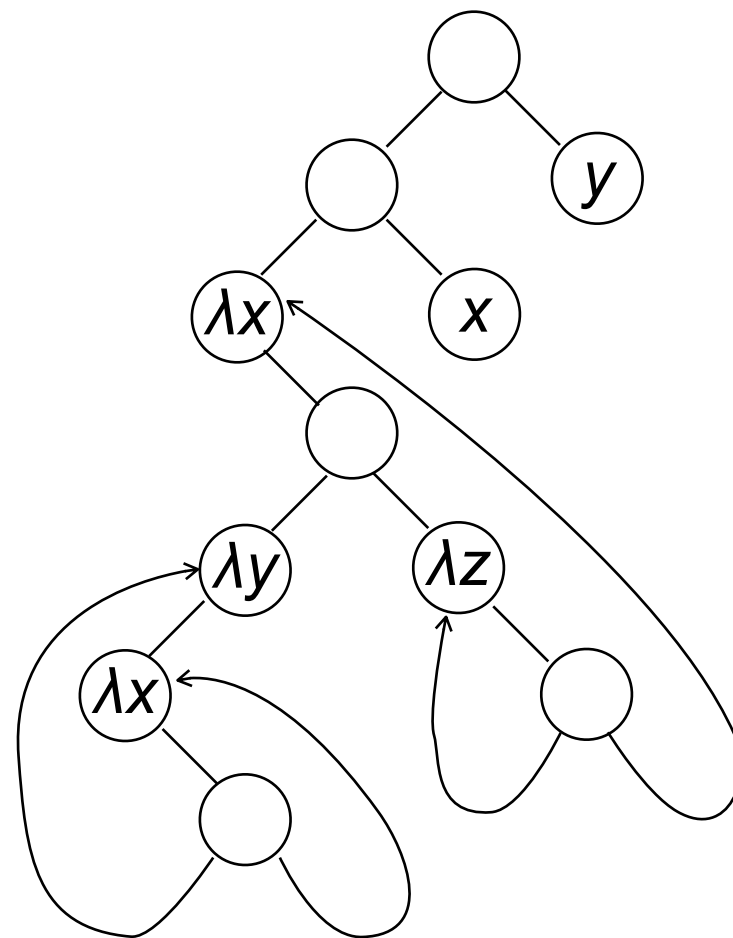
$$\lambda xy. x + y =_{\alpha} \lambda yx. y + x$$

Bound variables

- **de Bruijn indices** is a systematic computer representation of bound variables
- for each occurrence of a bound variable, one counts the number of binders to traverse to reach its binder.

• Example: $(\lambda x. (\lambda y. \lambda x. y x) (\lambda z. z x)) x y$

is $(\lambda. (\lambda. \lambda. \underline{1} \underline{0}) (\lambda. \underline{0} \underline{1})) x y$



Substitution

$$x\{y := P\} = x \qquad c\{y := P\} = c$$

$$y\{y := P\} = P$$

$$(MN)\{y := P\} = M\{y := P\} N\{y := P\}$$

$$(\lambda y.M)\{y := P\} = \lambda y.M$$

$$(\lambda x.M)\{y := P\} = \lambda x'.M\{x := x'\}\{y := P\}$$

where $x' = x$ if y not free in M or x not free in P ,
otherwise x' is the first variable not free in M and P .

(we suppose that the set of variables is infinite and enumerable)

Free variables

$$\text{var}(x) = \{x\} \qquad \text{var}(c) = \emptyset$$

$$\text{var}(MN) = \text{var}(M) \cup \text{var}(N)$$

$$\text{var}(\lambda x.M) = \text{var}(M) - \{x\}$$

Conversion rules

$$\begin{array}{l} \lambda x.M \xrightarrow{\alpha} \lambda x'.M\{x := x'\} \quad (x' \notin \text{var}(M)) \\ (\lambda x.M)N \xrightarrow{\beta} M\{x := N\} \\ \lambda x.Mx \xrightarrow{\eta} M \quad (x \notin \text{var}(M)) \end{array}$$

- left-hand-side of conversion rule is a **redex** (reducible expression)
- α -redex, β -redex, η -redex, ...
- we forget indices when clear from context, often β

Reduction step

- let R be a redex in M . Then one can contract redex R in M and get N :

$$M \xrightarrow{R} N$$

Reductions

$M \xrightarrow{\star} N$ when $M = M_0 \rightarrow M_1 \rightarrow M_2 \rightarrow \dots \rightarrow M_n = N$ ($n \geq 0$)

- same with explicit contracted redexes

$M = M_0 \xrightarrow{R_1} M_1 \xrightarrow{R_2} M_2 \dots \xrightarrow{R_n} M_n = N$

- and with named reductions

$\rho : M = M_0 \xrightarrow{R_1} M_1 \xrightarrow{R_2} M_2 \dots \xrightarrow{R_n} M_n = N$

- we speak of redex occurrences when specifying reduction steps, but it is convenient to confuse redexes and redex occurrences when clear from context

Lambda theories

$M =_{\beta} N$ when M and N are related by a zigzag of reductions
 M and N are said **interconvertible**



- Also $M =_{\alpha} N$, $M =_{\eta} N$, $M =_{\beta, \eta} N$, ...
- Interconvertibility is symmetric, reflexive, transitive closure of reduction relation
- or with notations of mathematical logic:

$$\alpha \vdash M = N, \quad \beta \vdash M = N, \quad \eta \vdash M = N, \quad \beta + \eta \vdash M = N, \dots$$

- the syntactic equality $M = N$ will often stand for $M =_{\alpha} N$.

Exercise 3

- Find terms M such that:

$$M \longrightarrow M$$

$$M = M_0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow \cdots M_n = M \quad (M_i \text{ all distinct})$$

$$M =_{\beta} x M$$

$$M =_{\beta} \lambda x.M$$

$$M =_{\beta} MM$$

$$M =_{\beta} MN_1N_2 \cdots N_n \text{ for all } N_1, N_2, \dots N_n$$

- Find term Y such that, for any M :

$$YM =_{\beta} M(YM)$$

- Find Y' such that, for any M :

$$Y'M \xrightarrow{\star} M(Y'M)$$

- (difficult) Show there is only one redex R such that $R \longrightarrow R$

Normal forms

- An expression M without redexes **is in** normal form

$M \not\rightarrow$

- If M reduces to a normal form, then M **has a** normal form

$M \xrightarrow{\star} N$, N in normal form

Exercice 4

- which of following terms are in β -normal form ?
in $\beta\eta$ -normal form ?

$\lambda x.x$

$\lambda xy.x$

$\lambda xy.xy$

$\lambda xy.x((\lambda x.y(xx))(\lambda x.y(xx)))$

$\lambda x.x(\lambda xy.x)(\lambda x.x)$

$\lambda xy.x(\lambda xy.x)(\lambda x.yx)$

$\lambda xy.x((\lambda x.xx)(\lambda x.xx))y$

Exercise 5

- Show that if M is in normal form and $M \xrightarrow{\star} N$, then $M = N$
- Show that:
 - 1-** $\lambda x.M \xrightarrow{\star} N$ implies $N = \lambda x.N'$ and $M \xrightarrow{\star} N'$
 - 2-** $MN \xrightarrow{\star} P$ implies $M \xrightarrow{\star} M'$, $N \xrightarrow{\star} N'$ and $P = M'N'$
or $M \xrightarrow{\star} \lambda x.M'$, $N \xrightarrow{\star} N'$ and $M'\{x := N'\} \xrightarrow{\star} P$
 - 3-** $xM_1M_2 \cdots M_n \xrightarrow{\star} N$ implies $M_1 \xrightarrow{\star} N_1$, $M_2 \xrightarrow{\star} N_2$, ... $M_n \xrightarrow{\star} N_n$
and $xN_1N_2 \cdots N_n = N$
 - 4-** $M\{x := N\} \xrightarrow{\star} \lambda y.P$ implies $M \xrightarrow{\star} \lambda y.M'$ and $M'\{x := N\} \xrightarrow{\star} P$
or $M \xrightarrow{\star} xM_1M_2 \cdots M_n$ and $NM_1\{x := N\} \cdots M_n\{x := N\} \xrightarrow{\star} \lambda y.P$

δ -rules

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Adding δ -rules: PCF

- Terms of PCF

M, N, P	$::=$	x, y, z, \dots	(variables)
		$\lambda x.M$	(M function of x)
		$M N$	(M applied to N)
		n	(integer constant)
		$M \otimes N$	(arithmetic operation, +, *, -, /)
		$\text{ifz } P \text{ then } M \text{ else } N$	(conditionnal)

- Conversion rules

$$(\lambda x.M)N \longrightarrow M\{x := N\}$$

$$\underline{m} \otimes \underline{n} \longrightarrow \underline{m \otimes n}$$

$$\text{ifz } \underline{0} \text{ then } M \text{ else } N \longrightarrow M$$

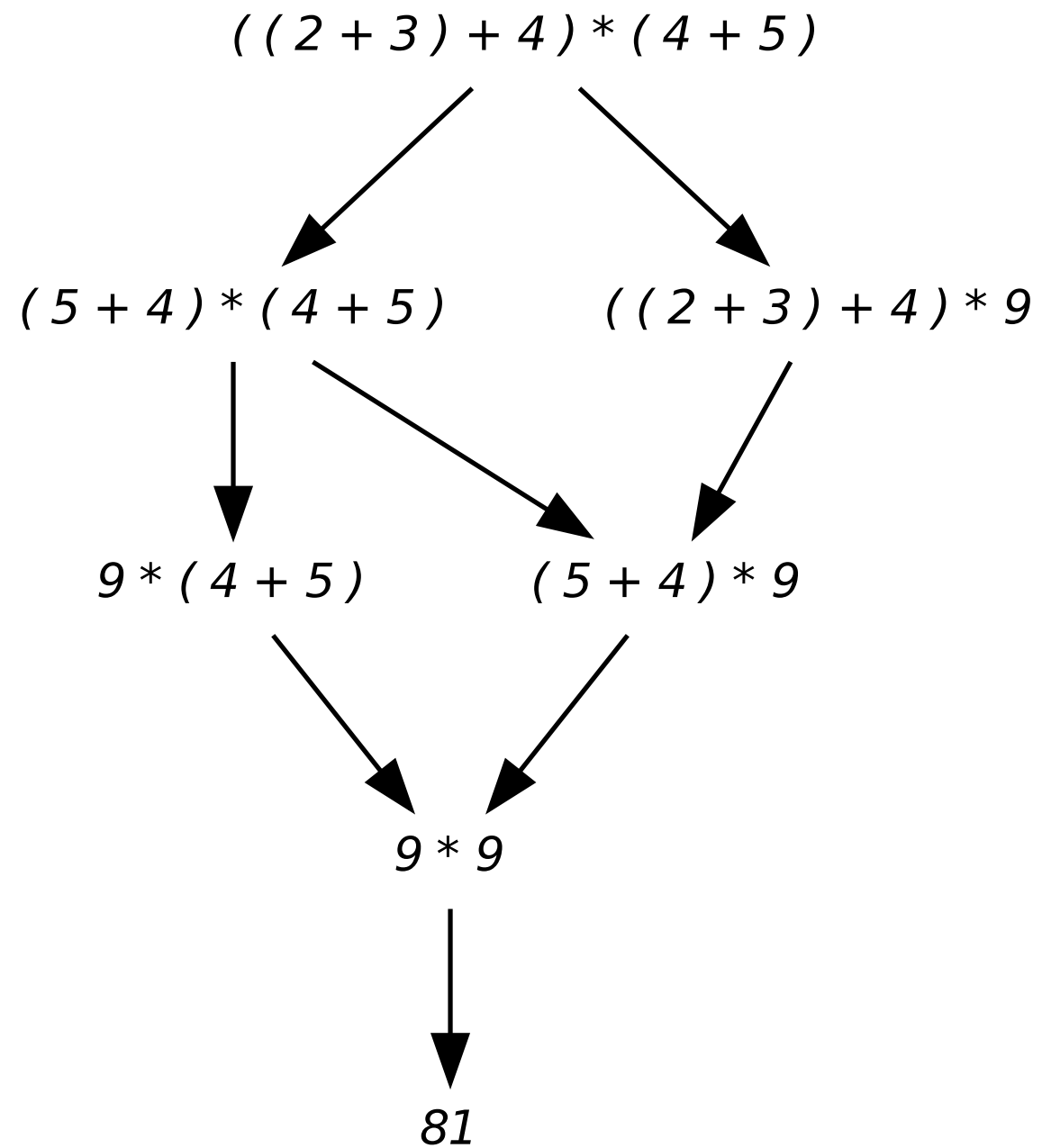
$$\text{ifz } \underline{n+1} \text{ then } M \text{ else } N \longrightarrow N$$

Examples (bis)

$$2 + 3 \rightarrow 5$$

$$(2 + 3) + 4 \rightarrow 5 + 4 \rightarrow 9$$

$$((2 + 3) + 4) * (4 + 5) \rightarrow \dots$$



Examples (bis)

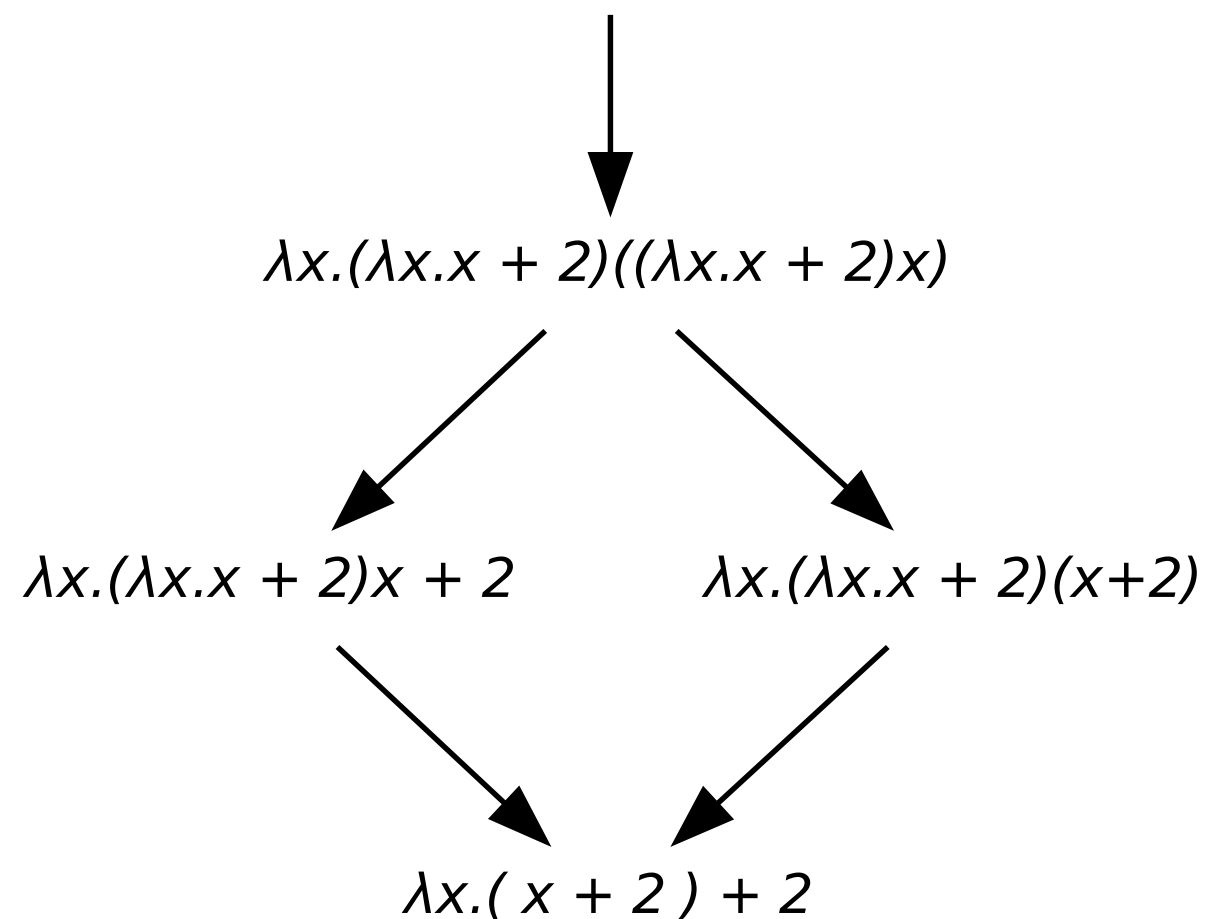
$$(\lambda x. x + 1)3 \rightarrow 3 + 1 \rightarrow 4$$

$$(\lambda x. 2 * x + 2)4 \rightarrow 2 * 4 + 2 \rightarrow 8 + 2 \rightarrow 10$$

$$(\lambda f. f3)(\lambda x. x + 2) \rightarrow (\lambda x. x + 2)3 \rightarrow 3 + 2 \rightarrow 5$$

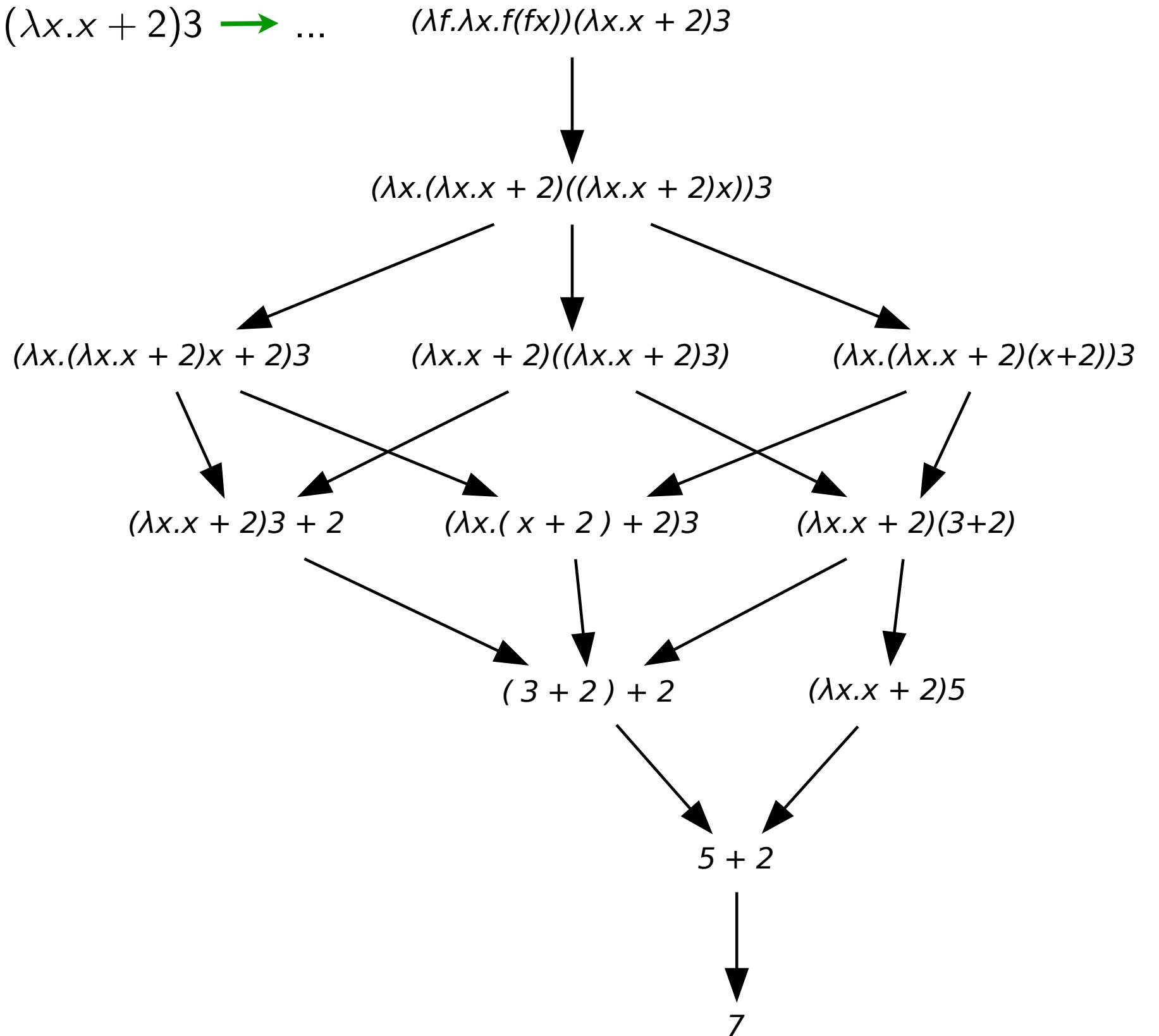
$$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2) \rightarrow ..$$

$$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)$$



Examples (bis)

$(\lambda f. \lambda x. f(f x))(\lambda x. x + 2)3 \rightarrow \dots$



Examples

Fact(3)

Fact = $Y(\lambda f.\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x \star f(x - 1))$

$Y = \lambda f.(\lambda x.f(xx))(\lambda x.f(xx))$

can be written as a single term in:

$(\lambda \text{Fact} . \text{Fact}(3))$

$((\lambda Y.Y(\lambda f.\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x \star f(x - 1)))$

$(\lambda f.(\lambda x.f(xx))(\lambda x.f(xx))))$)

$(\lambda \text{Fact.Fact3})(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf))$



$(\lambda y.y(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1)))(\lambda f.Yf)3$



$(\lambda f.Yf)(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))3$



$(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))3$



$(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))((\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))3$



$(\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * (\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1)3$



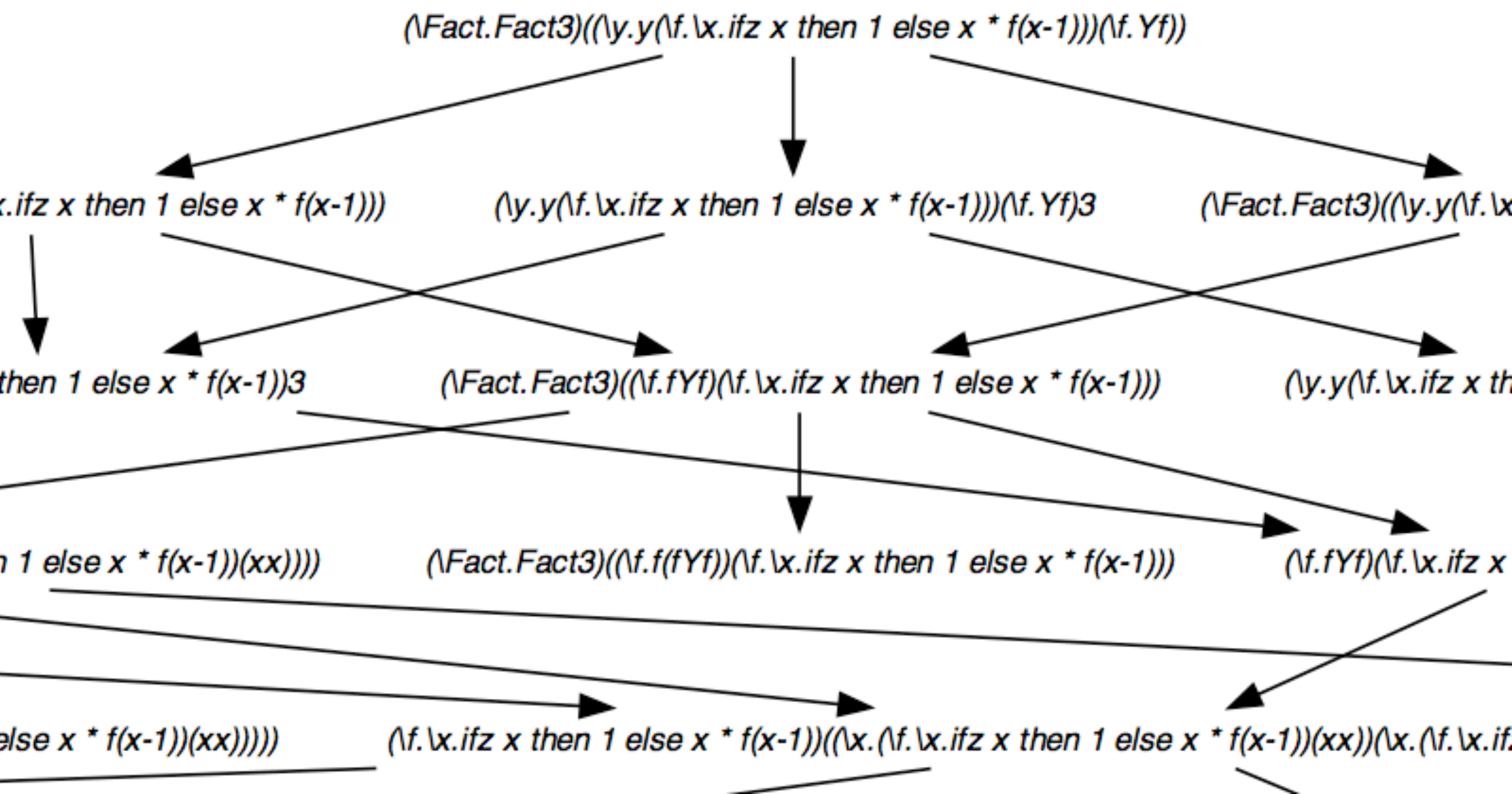
$\text{ifz } 3 \text{ then } 1 \text{ else } 3 * (\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(3-1)$

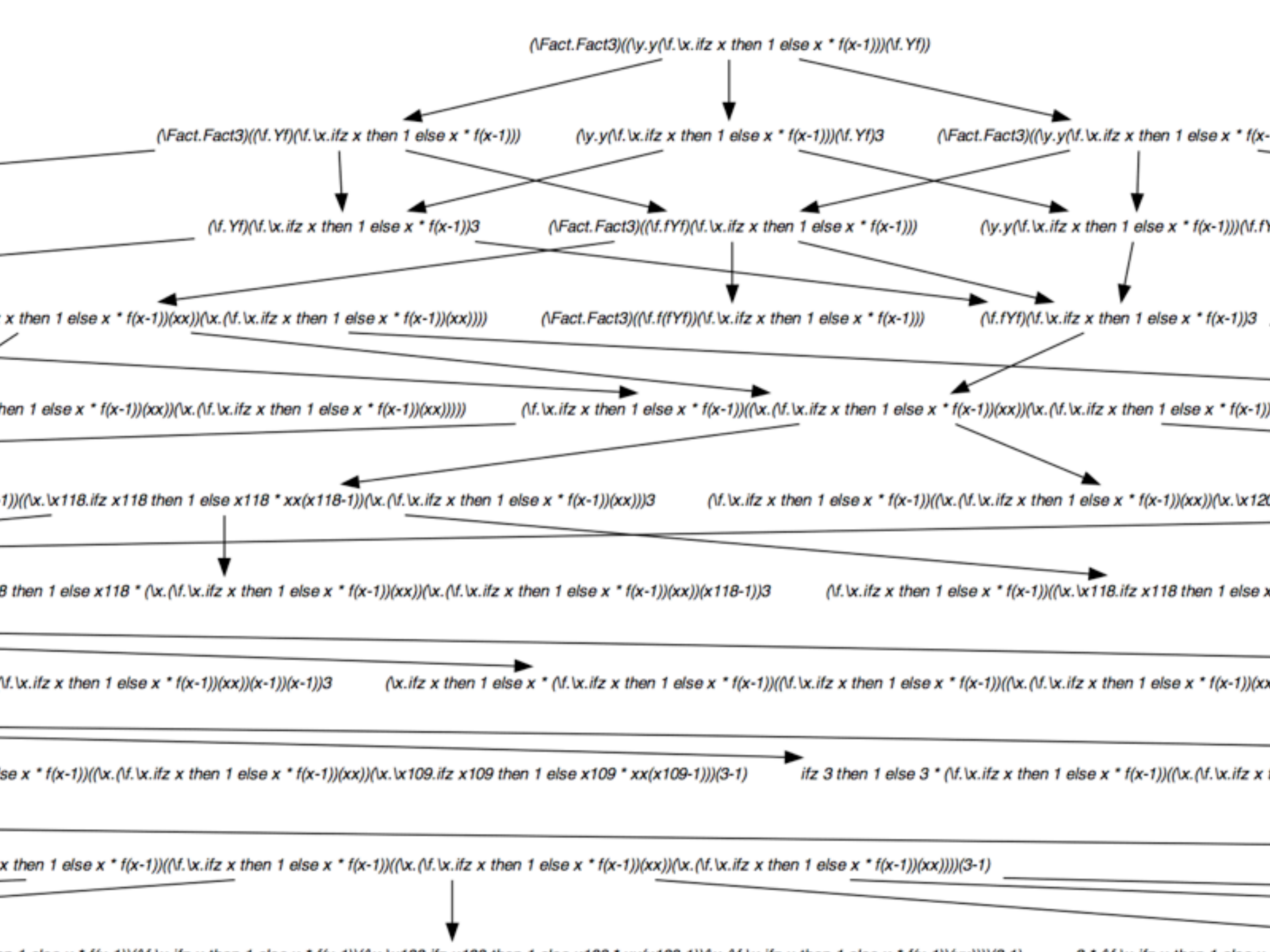


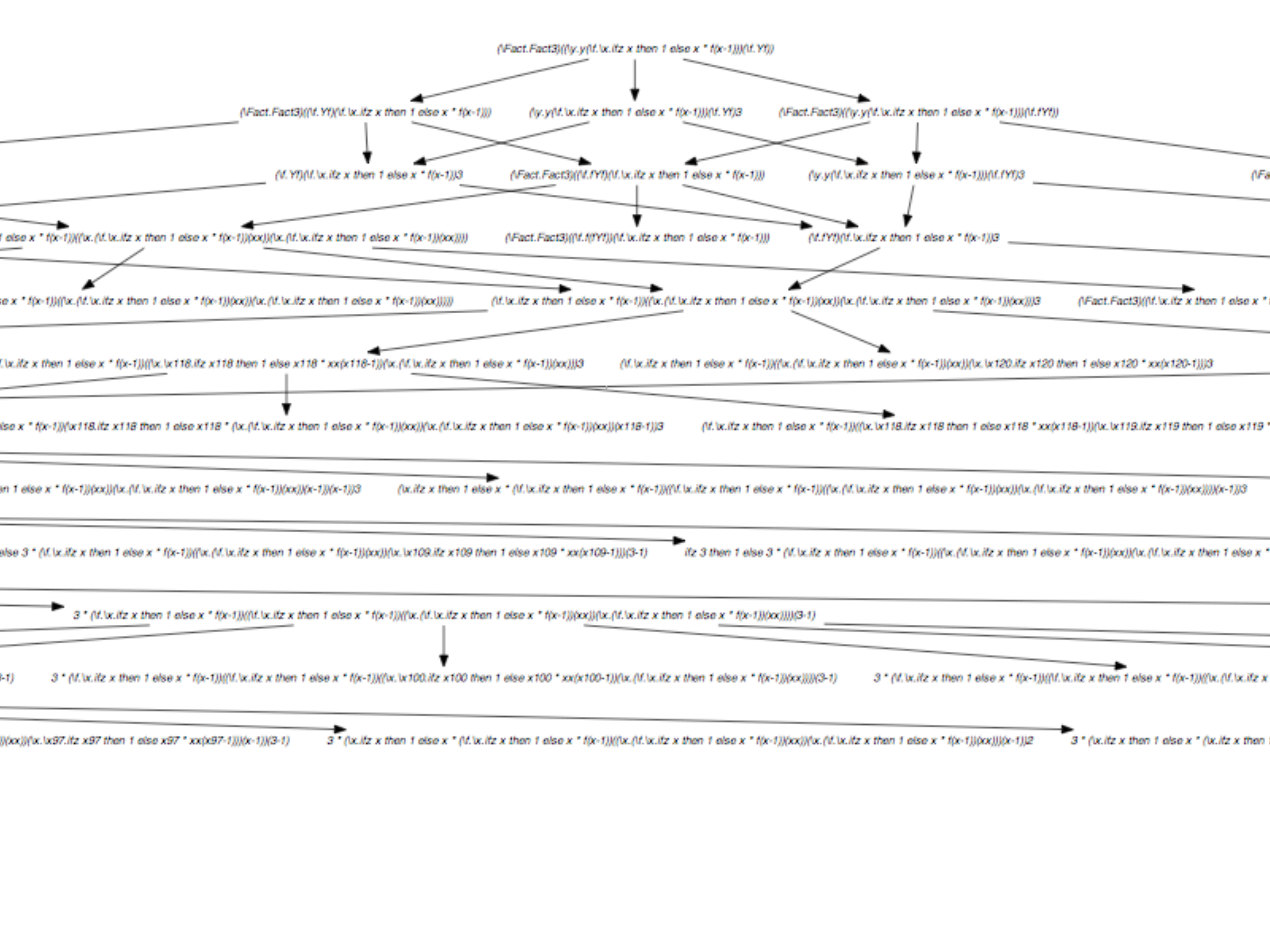
$3 * (\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(3-1)$

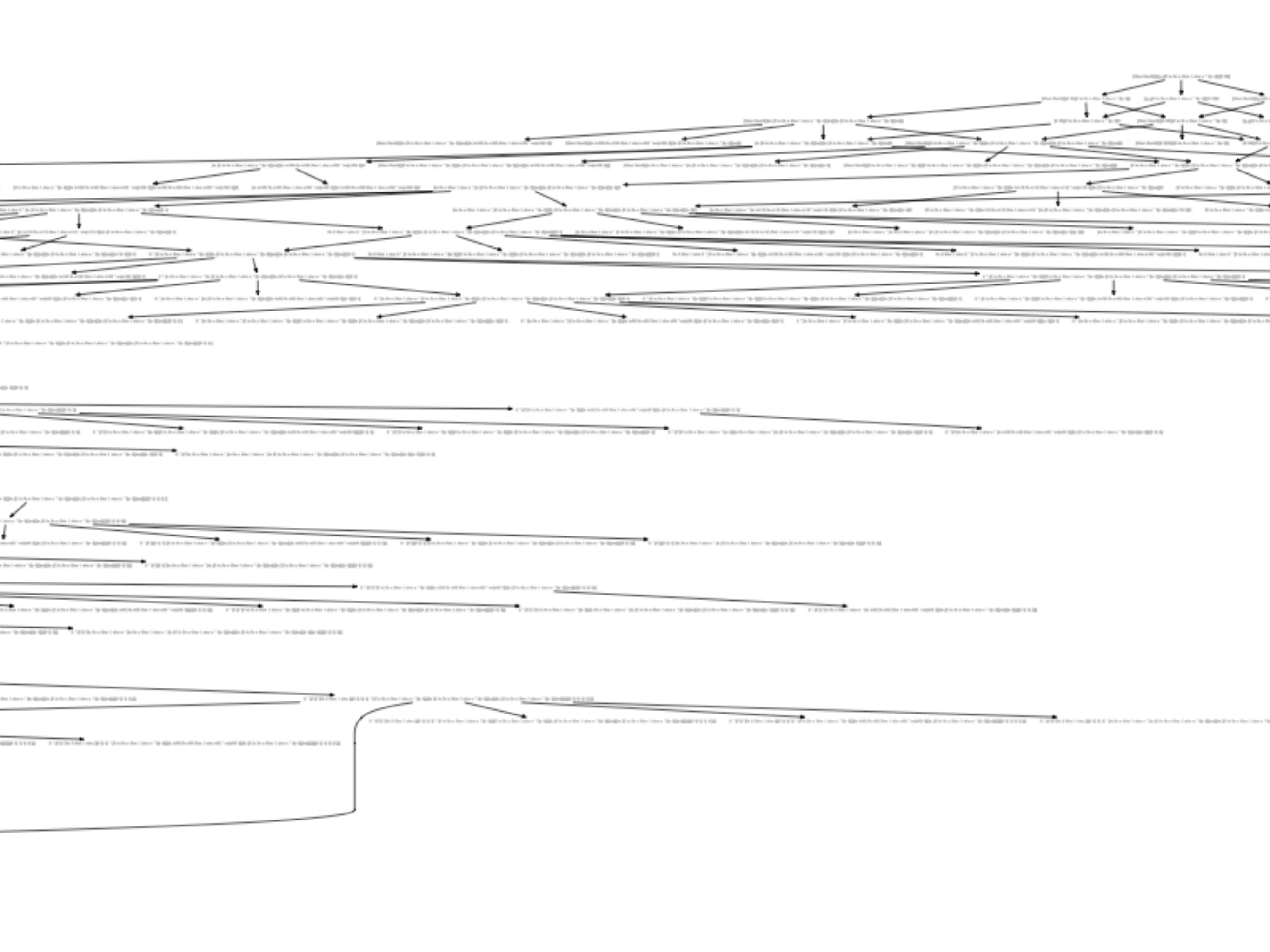


$3 * (\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))((\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x.(\lambda f.\lambda x.\text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx)))(3-1)$



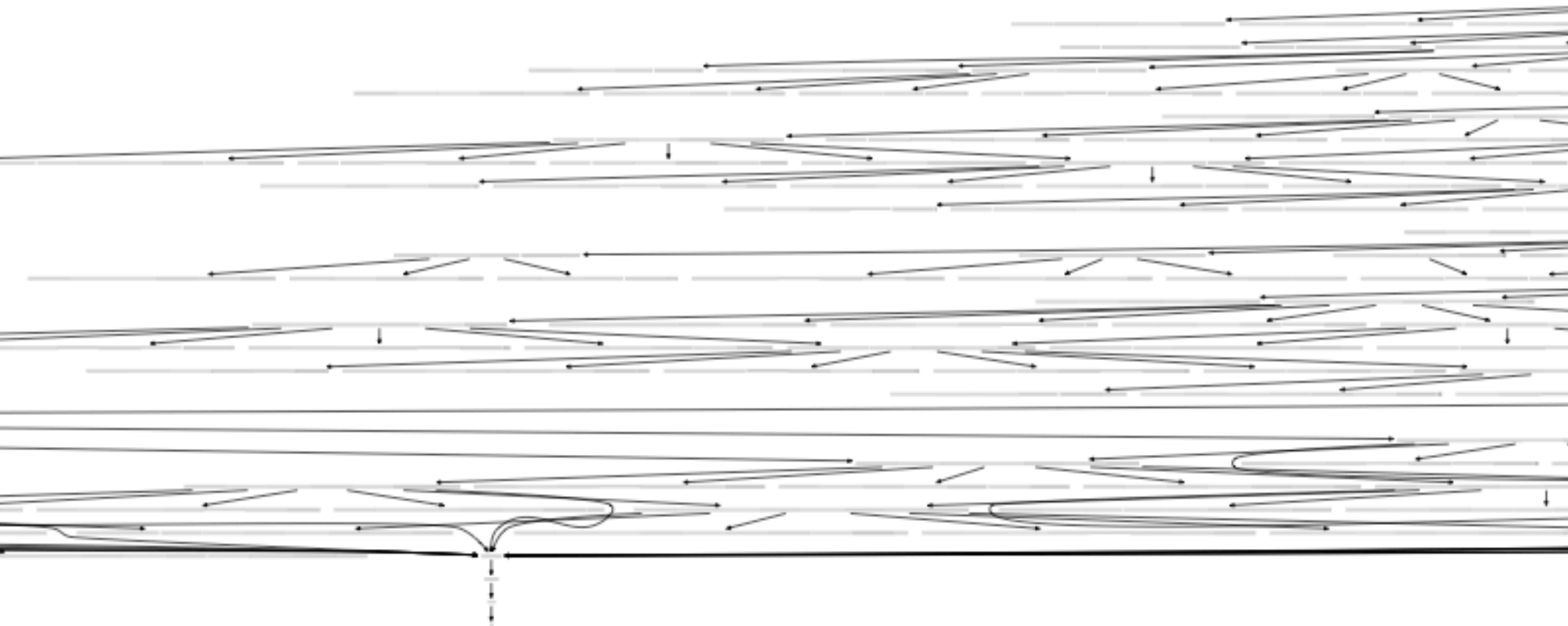


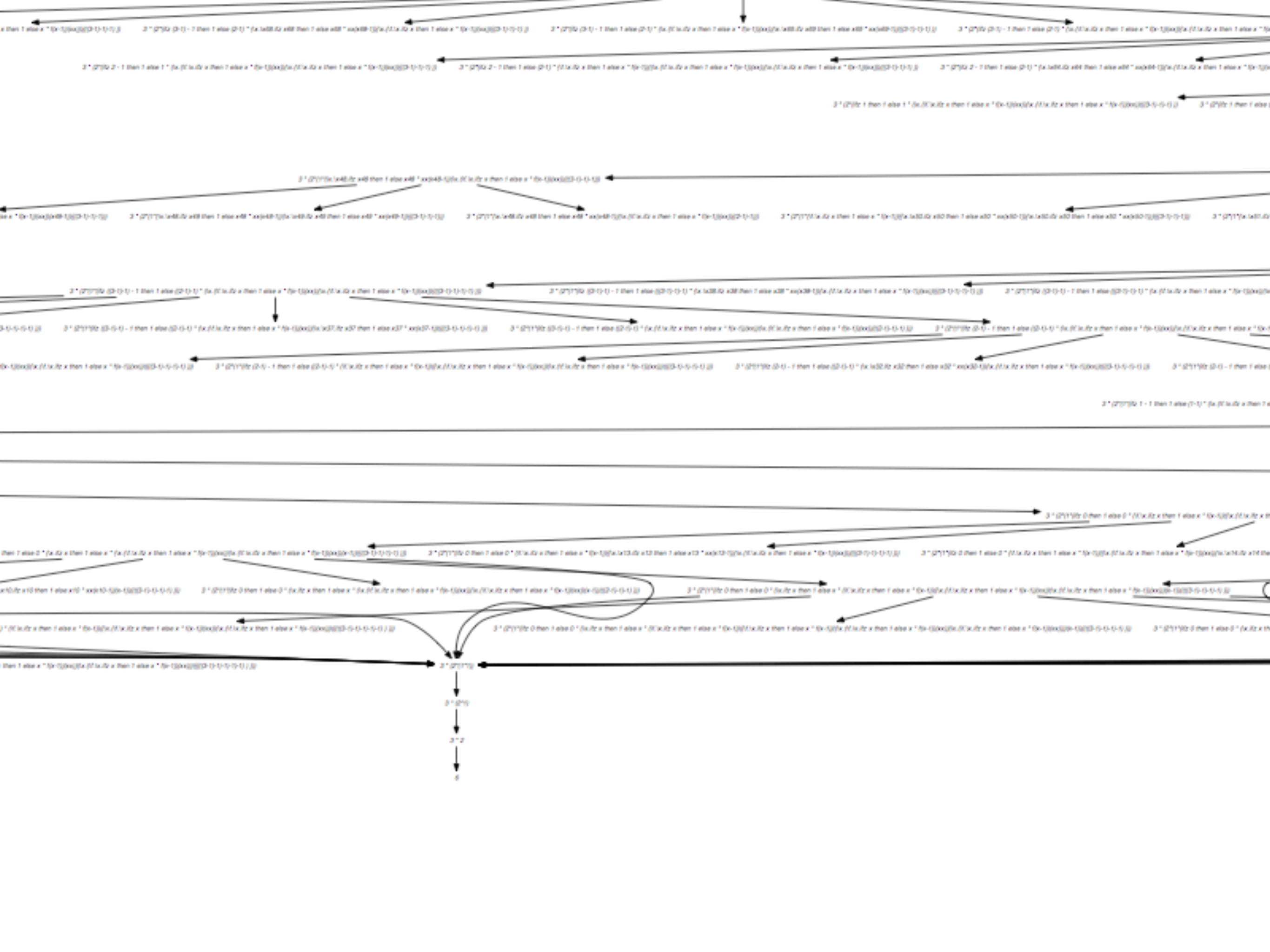


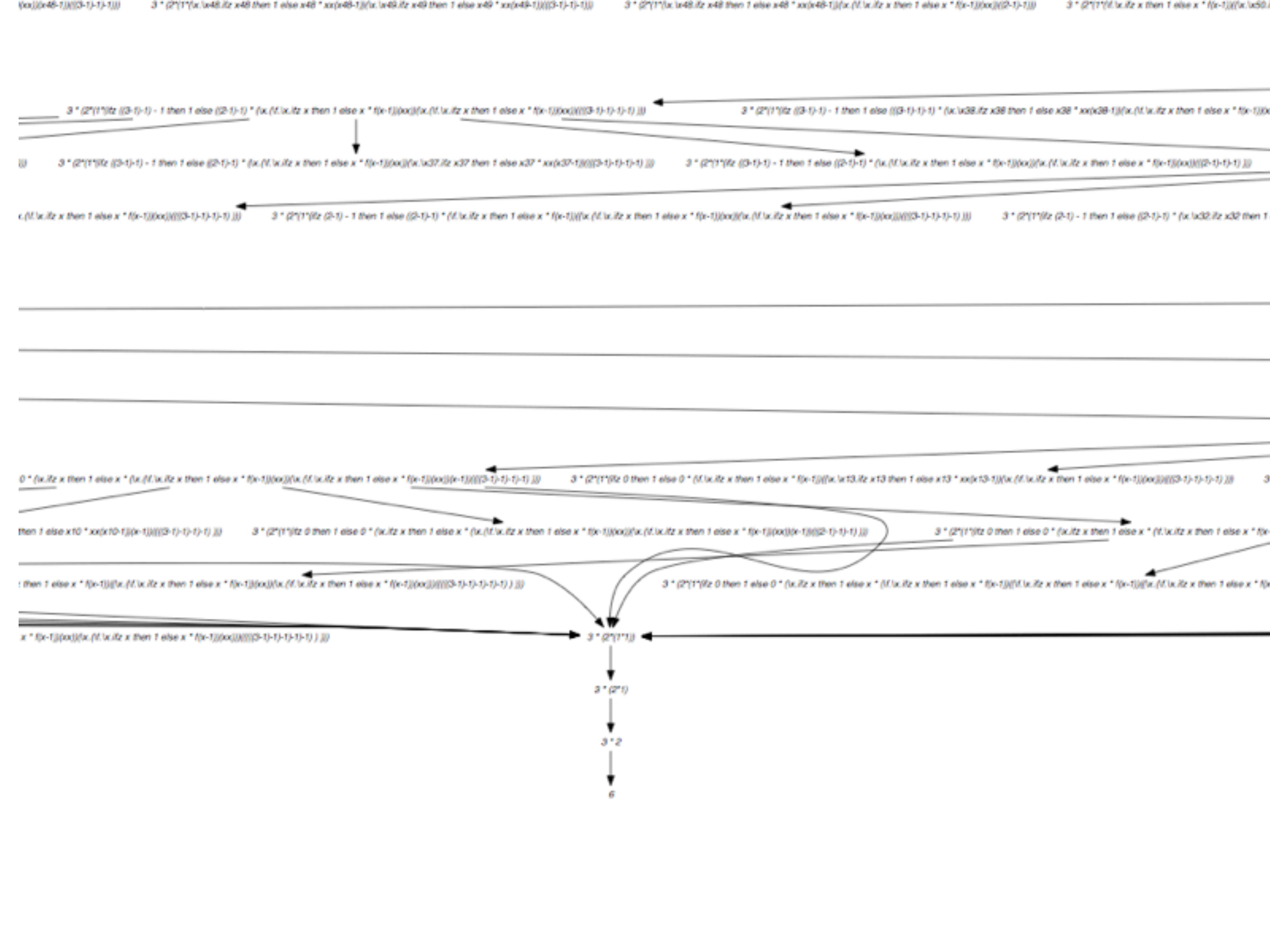


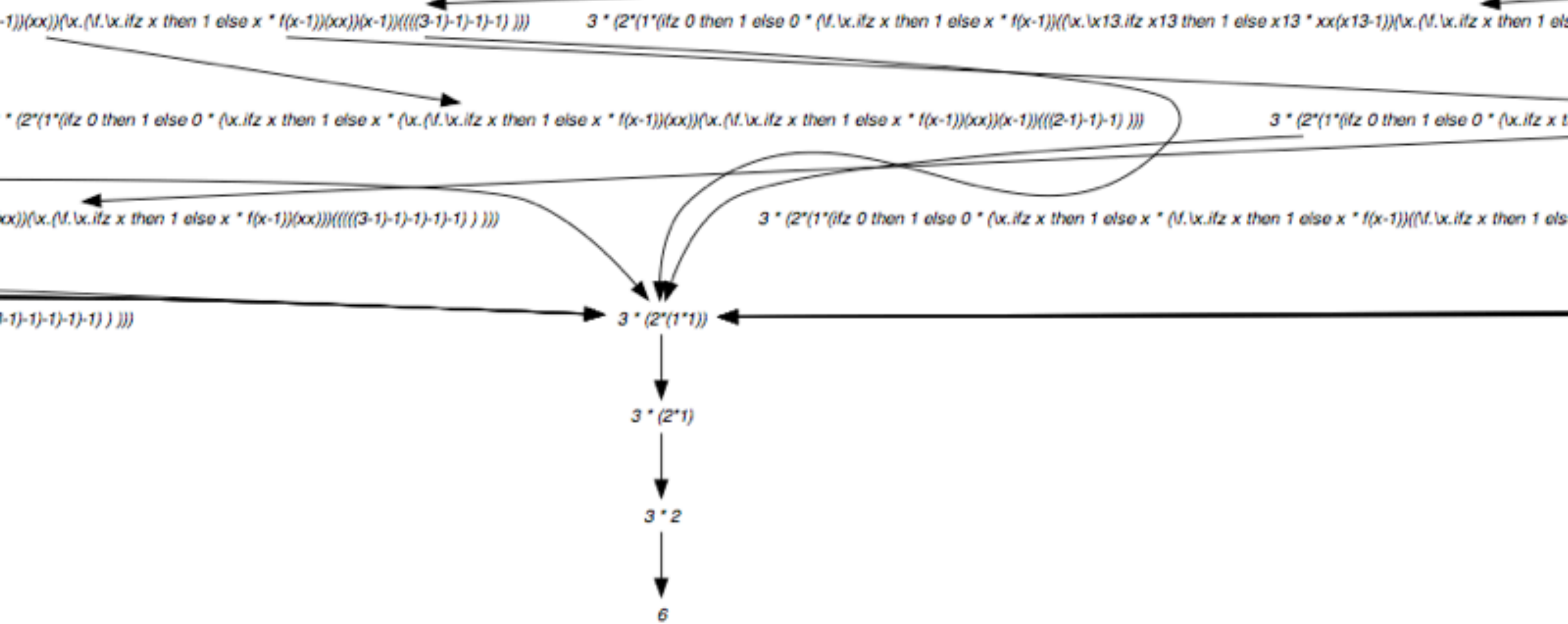












$\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1))(((3-1)-1)-1)-1))))$ $3 * (2*(1*(\text{ifz } 0 \text{ then } 1 \text{ else } 0 * (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(\lambda x. \lambda x13. \text{ifz } x13 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x. (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1))(((2-1)-1)-1))))$

$\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * (\lambda x. (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x. (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1))(((2-1)-1)-1))))$

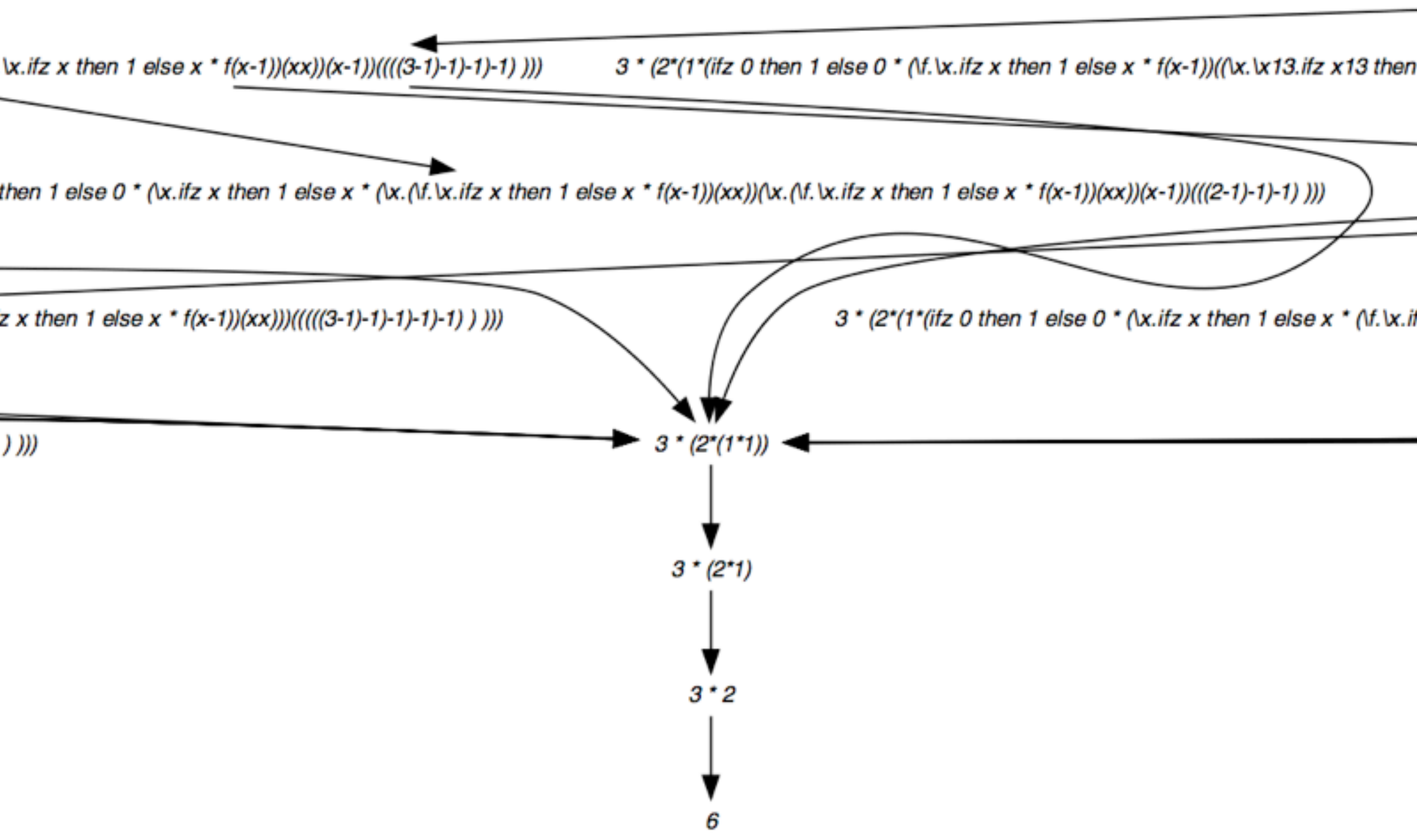
$\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(((3-1)-1)-1)-1))))$ $3 * (2*(1*(\text{ifz } 0 \text{ then } 1 \text{ else } 0 * (\lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(\lambda x. (\lambda f. \lambda x. \text{ifz } x \text{ then } 1 \text{ else } x * f(x-1))(xx))(x-1))(((2-1)-1)-1))))$

$3 * (2*(1*1))$

$3 * (2*1)$

$3 * 2$

6



λ -definability

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Computing without δ -rules

- Numbers will be in **unary**-code

$$\mathbb{N} = 0 \oplus S(\mathbb{N})$$


with following implementation:

$$0 = \langle \text{True}, ? \rangle$$

$$1 = \langle \text{False}, 0 \rangle = \langle \text{False}, \langle \text{True}, ? \rangle \rangle$$

$$2 = \langle \text{False}, 1 \rangle = \langle \text{False}, \langle \text{False}, \langle \text{True}, ? \rangle \rangle \rangle$$

⋮

$$n = \langle \text{False}, n - 1 \rangle = \langle \text{False}, \langle \text{False}, \dots \langle \text{True}, ? \rangle \rangle \rangle$$


Computing without δ -rules

- Booleans

$$\text{True} = \lambda x.\lambda y.x = K$$

$$\text{False} = \lambda x.\lambda y.y$$

$$\text{True } M N \xrightarrow{\star} M$$

$$\text{False } M N \xrightarrow{\star} N$$

- Pairs and Projections

$$\langle M, N \rangle = \lambda x.xMN$$

$$\pi_1 = \lambda x.x \text{ True}$$

$$\pi_2 = \lambda x.x \text{ False}$$

$$\pi_1 \langle M, N \rangle \xrightarrow{\star} M$$

$$\pi_2 \langle M, N \rangle \xrightarrow{\star} N$$

- Non-negative integers ...

$$0 = \langle \text{True}, \text{True} \rangle$$

$$n + 1 = \langle \text{False}, n \rangle$$

$$\text{isZero} = \pi_1$$

$$\text{isZero } 0 \xrightarrow{\star} \text{True}$$

$$\text{isZero}(n + 1) \xrightarrow{\star} \text{False}$$

Computing without δ -rules


- ... integers

$\text{Succ} = \lambda x. \langle \text{False}, x \rangle$

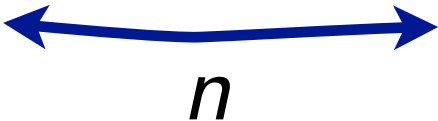
$\text{Pred} = \lambda x. \text{isZero } x \ 0 \ \pi_2$

Other numeral system

- also named **Church's numerals**

$$n = \lambda f. \lambda x. f(f(\dots f(x)\dots))$$


or

$$n = \lambda f. f \circ f \circ \dots \circ f$$


was $n+1$ in Church's original monograph



Other numeral system

- Lambda-/ calculus

$\lambda x.M$

(M depends upon x)

no $K = \lambda x.\lambda y.x$

- Church numerals

$n = \lambda f.\lambda x.f^n(x)$

$n I \xrightarrow{\star} I$

$n \geq 1$

$I = \lambda x.x$

- Pairs and projections

$\langle M, N \rangle = \lambda x.xMN$

$\pi_1 \langle m, n \rangle \xrightarrow{\star} m$

$\pi_1 = \lambda p.p(\lambda x.\lambda y.y I x)$

$\pi_2 \langle m, n \rangle \xrightarrow{\star} n$

$\pi_2 = \lambda p.p(\lambda x.\lambda y.x I y)$

Other numeral system

- ... successor and predecessor

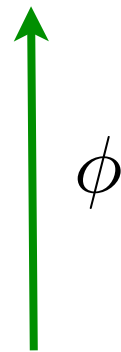
$$\text{Succ} = \lambda n. \lambda f. \lambda x. n f (f x)$$

$$\text{Pred} = \lambda n. \pi_3^3 (n \phi \langle 1, 1, 1 \rangle)$$

$$\phi = \lambda t. (\lambda x. \lambda y. \lambda z. \langle \text{Succ } x, x, y \rangle) (\pi_1^3 t) (\pi_2^3 t) (\pi_3^3 t)$$

where π_1^3 , π_2^3 , π_3^3 are the 3 projections on triples

4	3	2
3	2	1
2	1	1
1	1	1



ϕ

shift register! FIFO

Church numeral system



Alonzo Church



Stephen Kleene



If L, M, N are formulas representing positive integers, then $2_1[M, N] \text{ conv } M$, $2_2[M, N] \text{ conv } N$, $3_1[L, M, N] \text{ conv } L$, $3_2[L, M, N] \text{ conv } M$, and $3_3[L, M, N] \text{ conv } N$.

Verification of this depends on the observation that, if M is a formula representing a positive integer, $MI \text{ conv } I$ (the m th power of the identity is the identity).

By the predecessor function of positive integers we mean the function whose value for the argument 1 is 1 and whose value for any other positive integer argument x is $x-1$. This function is λ -defined by

$$P \rightarrow \lambda a. 3_3(a(\lambda b[S(3_1 b), 3_1 b, 3_2 b])[1, 1, 1]).$$

For if K, L, M represent positive integers,

$$(\lambda b[S(3_1 b), 3_1 b, 3_2 b])[K, L, M] \text{ conv } [SK, K, L],$$



Programming languages

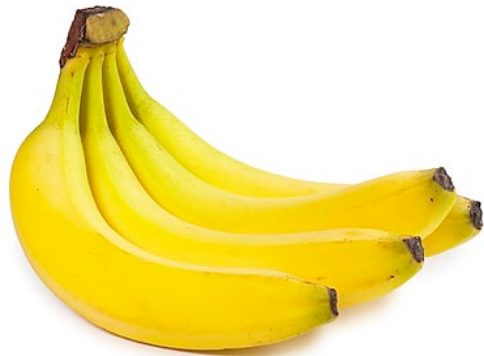
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Towards programming languages

- Many δ -rules
- Adding types \rightarrow never following terms :



+



= ???

$3 + \lambda x.x$

$4(5)$

$20(\lambda x.x)$

$\text{ifz } \lambda x.x \text{ then } 1 \text{ else } 3$

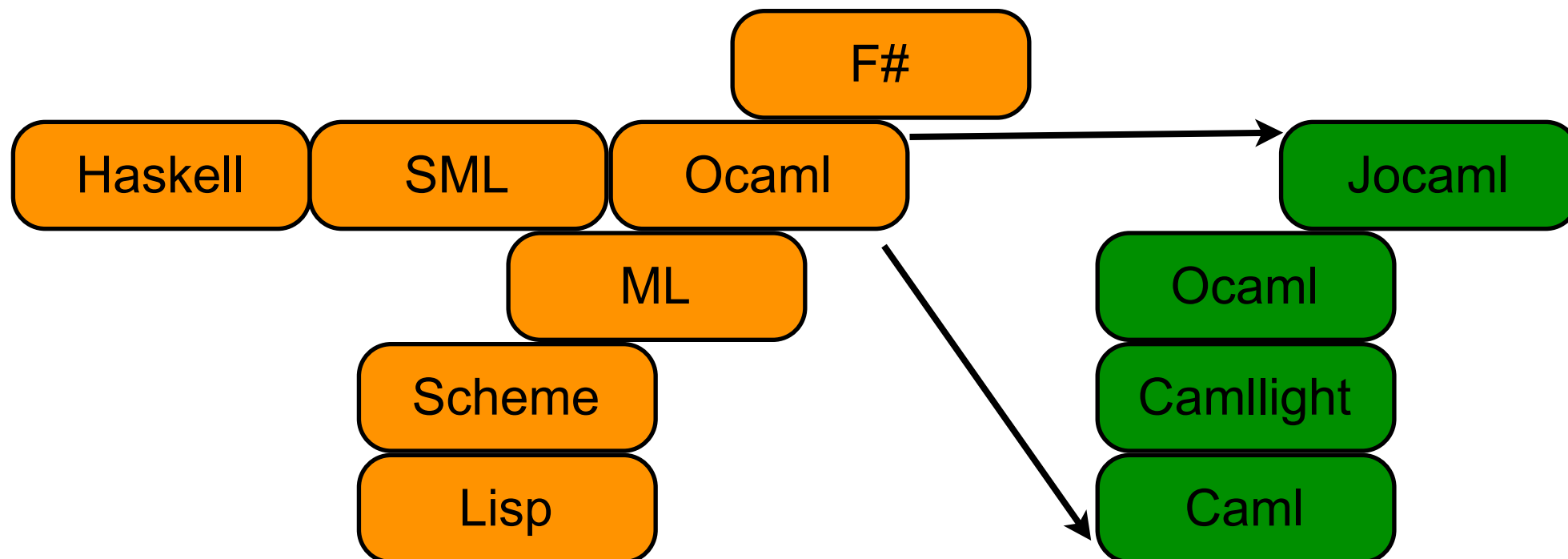
$\lambda f.(\lambda x.f(xx))(\lambda x.f(xx))$

$\lambda x.xx$

- Adding store and mutable values

Functional programming

- Scheme, SML, Ocaml, Haskell are functional programming languages
- they manipulate functions
- and try to reduce the number of memory states



Next class

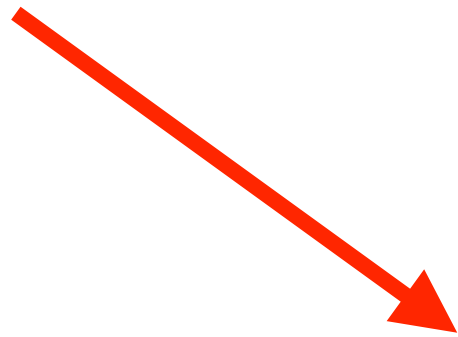
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Next class

- confluency



- consistency