

# Reverse mathematics of Gödel's completeness theorem

Hugo Herbelin

HIM seminar

18 July 2018

## Introduction

- Context: computing with proofs, even beyond intuitionistic logic, even possibly with side-effects (starting with classical logic)
- Completeness theorems: fundamental theorems connecting syntax and “semantics” (i.e. syntax from the meta-language)

For instance, in the case of informative-enough models (Kripke/Beth models, phase-semantics/point-free-topology, Heyting/Boolean algebras, ...), completeness theorems replicate proofs of validity into proofs of derivability (cf e.g. Normalization-by-Evaluation)

- Gödel’s completeness theorem: rich in its connection with standard axioms (Weak König’s Lemma, Weak Fan Theorem, Ultrafilter Theorem, Markov’s principle, ...)
- A large corpus of (often disconnected) results in the relative logical strength of axioms/theorems (so-called reverse mathematics): how to unify them?
- Knowing how to compute with Gödel’s completeness, shall we be able to provide alternative ways to compute with the Weak Fan Theorem, Weak König’s Lemma, Prime Ideal Theorem?

## Outline

- Reverse mathematics of Gödel's completeness theorem, in  $PA_2$ , ZF,  $HA^2$ ,  $HA_2$ , IZF, ...
- Computing with Henkin's proof
- Tarski semantics as "direct-style" for Kripke semantics: towards a computation with side effects of Gödel's completeness

# Classical reverse mathematics of the subsystems of second-order arithmetic

(the big five - Simpson 1999)

acronym	full name	canonical charact.	ordinal	f.o. fragment
$RCA_0$	Recursive Comprehension Axiom	$\Pi_1^0$ - $\Pi_1^0$ -Separation	$\omega^\omega$	PRA/ $I\Sigma_1$
$WKL_0$	Weak König's Lemma	$\Sigma_1^0$ - $\Sigma_1^0$ -Separation	$\omega^\omega$	PRA/ $I\Sigma_1$
$ACA_0$	Arithmetical Comprehension Axiom	$\Sigma_1^0$ - $\Pi_1^0$ -Separation	$\epsilon_0$	PA
$ATR_0$	Arithmetical Transfinite Recursion	$\Sigma_1^1$ - $\Sigma_1^1$ -Separation	$\Gamma_0$	
$\Pi_1^1$ - $CA_0$	$\Pi_1^1$ Comprehension Axiom	$\Sigma_1^1$ - $\Pi_1^1$ -Separation		

A typical result in this context (Simpson):

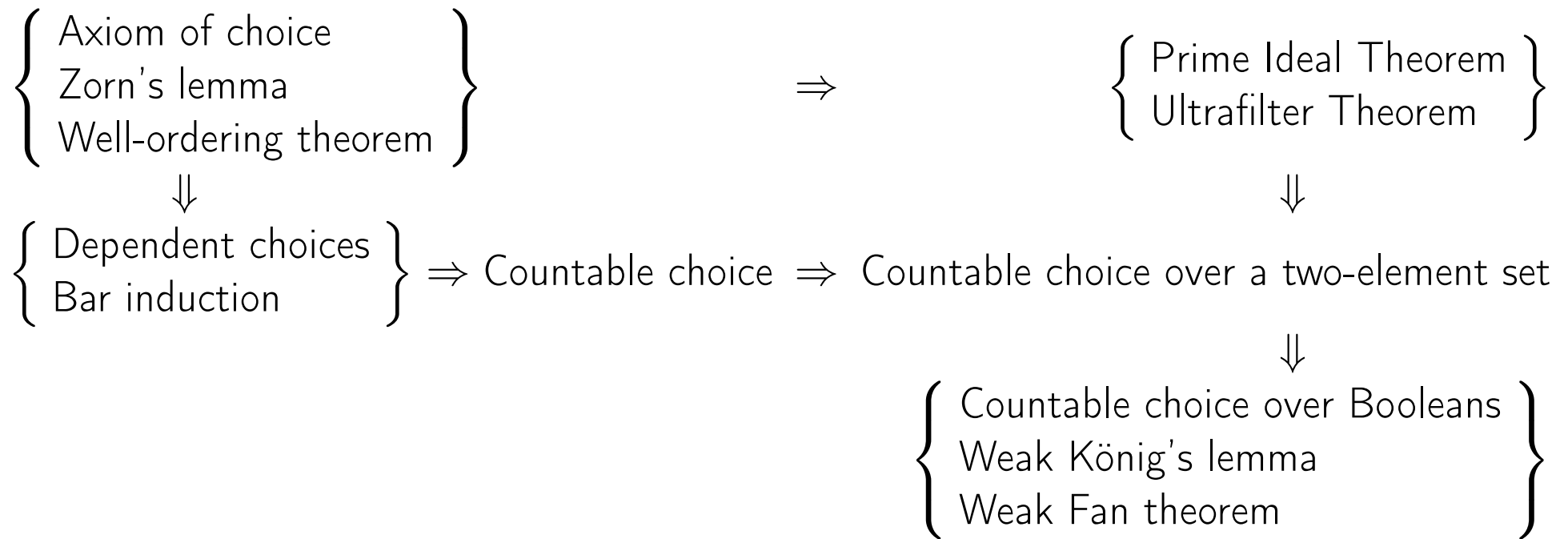
$$RCA_0 \vdash \text{Gödel's completeness theorem} = \text{Weak König's Lemma}$$

Moreover:

$$RCA_0 \vdash \text{full König's Lemma} = ACA_0$$

## Classical reverse mathematics in set theory

Typically about the axiom of choice (e.g. Jech 1973, Howard-Rubin 1998)



Typical results in this context:

*Henkin (1954):*  $\mathbf{ZF} \vdash \text{Gödel's completeness theorem} = \text{Prime Ideal Theorem}$

*Espindola (2016):*  $\mathbf{ZF} \vdash \text{completeness wrt Kripke models} = \text{Prime Ideal Theorem}$

*McCarty (2004):*  $\mathbf{IZF} \vdash \text{Gödel's completeness} \Rightarrow \text{EM}$

## Constructive reverse mathematics

Typically done within  $\mathbf{HA}^2$  with weak choice principles (Veldman's **BIM**, Kleene-Vesley's **WKV**, Kreisel-Troelstra's **EL**, ...)

*Many various results, sometimes looking contradictory:*

Gödel (1957), Kreisel (1962):  $\mathbf{HA}_2 \vdash$  completeness wrt Tarski semantics  $\Rightarrow$  Markov's principle

Friedman (1975):  $\mathbf{HA}^2 \vdash$  completeness wrt Beth models (but... fallible models)

Veldman (1976):  $\mathbf{HA}^2 + \mathbf{WFT} \vdash$  completeness wrt Kripke models (but... with exploding nodes)

Krivine (1996):  $\mathbf{HA}_2 \vdash$  Gödel's completeness (but... finite theory and only  $\Rightarrow, \forall$ )

Berardi (1999):  $\mathbf{HA}_2 \not\vdash$  Gödel's completeness if  $\vee$  or  $\perp$  have their Tarskian semantics

Berardi-Valentini (2004):  $\mathbf{HA}_2 \vdash$  Prime Ideal Theorem over a countable Boolean algebra (but with a definition of prime ideal avoiding  $\vee$ )

Loeb (2005):  $\mathbf{WKV} \vdash$  Weak Fan Theorem = Fan Theorem (!!)  
= Gödel's completeness (but...)

Espindola (2016):  $\mathbf{IZF} \vdash$  Gödel's completeness = EM + Prime Ideal Theorem (but...)

## Different formulation of Gödel's completeness

- For arbitrary theories, *valid* implies *provable*

$$\forall \mathcal{T} [\forall \mathcal{M} (\models_{\mathcal{M}} \mathcal{T} \Rightarrow \models_{\mathcal{M}} A) \Rightarrow \mathcal{T} \vdash A]$$

↔ version considered by Espindola (2016)

- For recursively enumerable theories, *valid* implies *provable*

$$\forall \mathcal{T} [\forall \mathcal{M} (\models_{\mathcal{M}} \mathcal{T} \Rightarrow \models_{\mathcal{M}} A) \Rightarrow \mathcal{T} \vdash A]$$

↔ equivalent to (a weak form of) Weak Fan Theorem in the presence of  $\Rightarrow$ ,  $\wedge$ ,  $\forall$

↔ equivalent to (the usual - strong - form of) Weak Fan Theorem in the presence of  $\forall$

↔ additionally requires Markov's principle in the presence of  $\perp$

- For (recursively enumerable) theories, *consistent* implies *has a model*

$$\forall \mathcal{T} [\mathcal{T} \not\vdash \perp \Rightarrow \exists \mathcal{M} \models_{\mathcal{M}} \mathcal{T}]$$

Markov's Principle no longer needed for the case of  $\perp$

## Different formulation of Gödel's completeness (continued)

- Weak form of *valid* implies *provable*

$$\forall \Gamma [\forall \mathcal{M} (\models_{\mathcal{M}} \Gamma \Rightarrow \models_{\mathcal{M}} A) \Rightarrow \Gamma \vdash A]$$

- *Provable* or *has a model*

$$\forall \mathcal{T} [\mathcal{T} \vdash A \vee \exists \mathcal{M} \models_{\mathcal{M}} \mathcal{T} \wedge \neg A]$$

$\Leftrightarrow$  strongly classical

Note: formal proofs of the above statement not all yet written.



## Tarski semantics vs 2-valued semantics

From an intuitionistic reverse math. point of view, it matters how a model is defined:

- a set of propositions?

i.e.  $\mathcal{M} : \mathcal{F}orm \rightarrow Prop$

- a functional relation mapping propositions to Booleans?

i.e.  $\mathcal{M} : \Sigma R : \mathcal{F}orm \times \mathbb{B} \rightarrow Prop. \forall A \exists! b R(A, b)$

- a function mapping propositions to Booleans?

i.e.  $\mathcal{M} : \mathcal{F}orm \rightarrow \mathbb{B}$

## Tarski semantics vs 2-valued semantics

Obviously:

$$\mathcal{Form} \rightarrow \mathbb{B}$$

$$\Downarrow$$

$$\Sigma R : \mathcal{Form} \times \mathbb{B} \rightarrow Prop. \forall A \exists! b R(A, b)$$

$$\Downarrow$$

$$\mathcal{Form} \rightarrow Prop$$

Map  $f : \mathcal{Form} \rightarrow \mathbb{B}$  to  $R(A, b) \triangleq (f(A) = b)$  which is trivially functional

Map  $R : \mathcal{Form} \times \mathbb{B} \rightarrow Prop$  to  $X(A) \triangleq R(A, \text{true})$

## Tarski semantics vs 2-valued semantics

And also:

$$\mathcal{Form} \rightarrow \mathbb{B}$$

$$\text{AC!}_{\mathbb{N}, \mathbb{B}} \uparrow$$

$$\Sigma R : \mathcal{Form} \times \mathbb{B} \rightarrow \text{Prop}. \forall A \exists! b R(A, b)$$

$$\text{EM} \uparrow$$

$$\mathcal{Form} \rightarrow \text{Prop}$$

Map  $X : \mathcal{Form} \rightarrow \text{Prop}$  to  $R(A, b) \triangleq (b = \mathbf{true} \Leftrightarrow X(A))$ , this is functional by **EM**

Map  $\forall A \exists! b R(A, b)$  to a function by unique choice.

## On the three ways to formalize subsets

The three different styles applies also to state the Weak König's Lemma, Weak Fan Theorem, Boolean Prime Ideal, ...

Intuitionistic reverse mathematics favor the *functional* form (e.g. Veldman)

Classical reverse mathematics favor the *functional relation* form (e.g. Simpson)

The *predicate* form is the easiest to compute with in the case of the above axioms/theorems

## Three corresponding forms of Weak Fan Theorem

(contraposition of Weak König's Lemma / bar induction on binary trees)

Let  $T$  be an arbitrary predicate on  $\mathbb{B}^*$  (finite sequences of Booleans)

$$\text{WFT}_{fun} \triangleq \forall f \exists n T(f|_n) \Rightarrow \exists N \forall l (|l| = N \Rightarrow \exists l' \subset l T(l'))$$

$$\text{WFT}_{fun-rel} \triangleq \forall R \exists n \exists l \approx_n R \wedge T(l) \Rightarrow \exists N \forall l (|l| = N \Rightarrow \exists l' \subset l T(l'))$$

$$\text{WFT}_{pred} \triangleq \forall X \exists n \exists l \approx_n X \wedge T(l) \Rightarrow \exists N \forall l (|l| = N \Rightarrow \exists l' \subset l T(l'))$$

where:

$$\frac{}{\epsilon \approx_0 X} \quad \frac{l \approx_n X \quad X(n)}{l \cdot \text{true} \approx_{n+1} X} \quad \frac{l \approx_n X \quad \neg X(n)}{l \cdot \text{false} \approx_{n+1} X}$$

$$\frac{}{\epsilon \approx_0 R} \quad \frac{l \approx_n R \quad R(n, b)}{l \cdot b \approx_{n+1} R} \quad \begin{array}{l} f|_0 \triangleq \epsilon \\ f|_{n+1} \triangleq f|_n \cdot f(n) \end{array}$$

Note: We do not care here about the logical complexity of  $T$

## Three forms of Weak Fan Theorem

Thus we have:

$$\mathbf{WFT}_{pred} \stackrel{\text{EM}}{\Rightarrow} \mathbf{WFT}_{fun-rel} \stackrel{\text{AC}^!_{\mathbb{N}, \mathbb{B}}}{\Rightarrow} \mathbf{WFT}_{fun}$$

$$\mathbf{WFT}_{fun} \Rightarrow \mathbf{WFT}_{fun-rel} \Rightarrow \mathbf{WFT}_{pred}$$

$\mathbf{WFT}_{fun}$ , considered in intuitionistic reverse mathematics, is equivalent to the full Fan Theorem on finite (non-necessarily binary) “trees”

$\mathbf{WFT}_{fun-rel}$  and  $\mathbf{WFT}_{pred}$ , both equivalent in classical reverse mathematics, are not equivalent to the corresponding formulation of the full Fan Theorem

Intuitionistically,  $\mathbf{WFT}_{pred}$  is enough to prove completeness in the presence of  $\Rightarrow$ ,  $\wedge$ ,  $\forall$  (over recursively enumerable theories)

$\mathbf{WFT}_{fun-rel}$  is needed for  $\forall$

## On the respective force of the different formulations of Weak Fan Theorem

After Berger (2009) who isolated the classical part  $L_{fan}$  (“in a binary tree with at most one infinite branch, we can decide whether it is the left or right subtree which is infinite) and choice part  $C_{fan}$  of **WFT**:

Conjecture, for  $S$  a class of formula:

$$\begin{array}{ccc}
 C_{fan-pred}(S) = \mathbf{WFT}_{pred}(S) & \xRightarrow{L_{fan}(S)} & \mathbf{WFT}_{fun-rel}(S) \\
 \Downarrow \text{AC!} & & \Downarrow \text{AC!} \\
 C_{fan-fun}(S) & \xRightarrow{L_{fan}(S)} & \mathbf{WFT}_{fun}(S)
 \end{array}$$

Similarly, following Ishihara (2005), conjecture:

$$\begin{array}{ccc}
 C_{\mathbf{WKL}-pred}(S) = \mathbf{WKL}_{pred}(S) & \xRightarrow{\mathbf{WEM}(\Sigma S)} & \mathbf{WKL}_{fun-rel}(S) \\
 \Downarrow \text{AC!} & & \Downarrow \text{AC!} \\
 C_{\mathbf{WKL}-fun}(S) & \xRightarrow{\mathbf{WEM}(\Sigma S)} & \mathbf{WKL}_{fun}(S)
 \end{array}$$

with  $\mathbf{WEM}(S) \triangleq \neg(A \wedge B) \Rightarrow \neg A \vee \neg B$  for  $A, B \in S$

## Outline

- Reverse mathematics of Gödel's completeness theorem, in  $PA_2$ ,  $ZF$ ,  $HA^2$ ,  $HA_2$ ,  $IZF$ , ...
- **Computing with Henkin's proof**
- Tarski semantics as "direct-style" for Kripke semantics: towards a computation with side effects of Gödel's completeness



The statement of completeness  
(weak form, restricted to the negative fragment)

$$t \in \mathcal{T} ::= x \mid ft_1 \dots t_{a_f}$$

$$A, B \in \mathcal{F} ::= Pt_1 \dots t_{a_P} \mid \perp \mid A \dot{\rightarrow} B \mid \dot{\forall} x A$$

A model is a triple  $(\mathcal{M}_D, \mathcal{M}(f) \in \mathcal{M}_D^{a_f} \Rightarrow \mathcal{M}_D, \mathcal{M}(P) \in \mathcal{P}(\mathcal{M}_D^{a_P}))$ . Truth in  $\mathcal{M}$  is defined recursively:

$$\begin{aligned} [x]_{\mathcal{M}}^{\sigma} &\triangleq \sigma(x) \\ [ft_1 \dots t_{a_f}]_{\mathcal{M}}^{\sigma} &\triangleq \mathcal{M}(f)[t_1]_{\mathcal{M}}^{\sigma} \dots [t_{a_f}]_{\mathcal{M}}^{\sigma} \\ [Pt_1 \dots t_{a_P}]_{\mathcal{M}}^{\sigma} &\triangleq \mathcal{M}(P)[t_1]_{\mathcal{M}}^{\sigma} \dots [t_{a_P}]_{\mathcal{M}}^{\sigma} \\ [\perp]_{\mathcal{M}}^{\sigma} &\triangleq \perp \\ [A \dot{\rightarrow} B]_{\mathcal{M}}^{\sigma} &\triangleq [A]_{\mathcal{M}}^{\sigma} \Rightarrow [B]_{\mathcal{M}}^{\sigma} \\ [\dot{\forall} x A]_{\mathcal{M}}^{\sigma} &\triangleq \forall t \in \mathcal{M}_D [A]_{\mathcal{M}}^{\sigma[x \leftarrow t]} \end{aligned}$$

A model is classical, written  $Class(\mathcal{M})$  if for each  $A$  and  $\sigma$ ,  $[\dot{\neg} \dot{\neg} A]_{\mathcal{M}}^{\sigma} \Rightarrow [A]_{\mathcal{M}}^{\sigma}$ .

The completeness statement :  $\forall A (\forall \mathcal{M} \forall \sigma Class(\mathcal{M}) \Rightarrow [A]_{\mathcal{M}}^{\sigma}) \Rightarrow [\vdash A]$

## The proof (usual presentation)

To prove  $\vdash A_0$ , prove instead  $\neg A_0 \vdash \perp$ .

Reason by contradiction and assume  $(\neg A_0 \vdash \perp) \Rightarrow \perp$ , i.e. that the context  $\Gamma_0 \triangleq \neg A_0$  is consistent.

For an enumeration  $A_1, A_3, A_5, \dots$  of all non-universal formulas and an enumeration  $\forall x A_2, \forall x A_4, \forall x A_6, \dots$  of all universal formulas, classically build

- $\Gamma_{2n+1} \triangleq \Gamma_{2n}$  if  $\Gamma_{2n}, A_{2n+1} \vdash \perp$
- $\Gamma_{2n+1} \triangleq \Gamma_{2n}, A_{2n+1}$  otherwise
- $\Gamma_{2n+2} \triangleq \Gamma_{2n+1}, (A_{2n+2}[x_n/x] \rightarrow \forall x A_{2n+2})$  if  $\Gamma_n, \forall x A_{2n+2} \vdash \perp$
- $\Gamma_{2n+2} \triangleq \Gamma_{2n+1}, (A_{2n+2}[x_n/x] \rightarrow \forall x A_{2n+2}), \forall x A_{2n+2}$  otherwise

where the formulas  $A_{2n+2}[x_n/x] \rightarrow \forall x A_{2n+2}$ , for  $x_n$  taken fresh in  $\Gamma_{2n+1}$  are called Henkin axioms.

This construction propagates consistency from  $\Gamma_0$  to  $\Gamma_n$ .

## The proof (usual presentation), continued

Build the infinite theory  $\mathcal{T} \triangleq \cup_n \Gamma_n$ .

Under the initial assumption that  $\vdash A_0$  is contradictory, one gets that  $\mathcal{T}$  is consistent.

Define a syntactic model  $\mathcal{M}_0$  by  $\mathcal{D} \triangleq \mathcal{T}$ ,  $\mathcal{M}(f)(t_1, \dots, t_{a_f}) \triangleq f(t_1, \dots, t_{a_f})$  and  $\mathcal{M}(P)(t_1, \dots, t_{a_P}) \triangleq P(t_1, \dots, t_{a_P}) \in \mathcal{T}$ .

One can prove by induction on  $A$  that  $\llbracket A \rrbracket_{\mathcal{M}_0}$  iff  $A \in \mathcal{T}$ .

The model is complete in the sense that either  $A \in \mathcal{T}$  or  $\neg A \in \mathcal{T}$ , and hence satisfy  $Class(\mathcal{M}_0)$ .

By validity of  $A_0$ , get  $\llbracket A_0 \rrbracket_{\mathcal{M}_0}$ , hence  $A_0 \in \mathcal{T}$  hence  $\mathcal{T} \vdash \perp$ , a contradiction.

## The proof (turned positively)

Let  $\lceil A \rceil$  and  $\phi$  form a Gödel's numbering of formulas such that  $\lceil \phi(n) \rceil = n$ . Let  $x_n$  be a variable fresh in  $\phi(0), \dots, \phi(n)$ . Henkin axioms at step  $n$  are defined by taking  $\Theta_0$  to be empty and  $\Theta_{n+1}$  to be  $\Theta_n$  unless  $\phi(n) = \forall x A$  in which case it is  $A[x_n/x] \Rightarrow \forall x A, \Theta_n$ . Let  $A_0$  be the formula we expect a proof of.

Let  $F_n$  (virtually) denotes the countermodel built at step  $n$ . We define  $A \in F_\omega$  to mean  $\exists n \exists \Gamma \subset F_n [\Theta_n, \Gamma \vdash A]$  ("  $A$  gets provable at some step of the construction of a context equiconsistent to  $\neg A_0$ ") where  $\Gamma \subset F_n$  is formally defined inductively:

$$\frac{}{\neg A_0 \subset F_0} I_0 \qquad \frac{\Gamma \subset F_n}{\Gamma \subset F_{n+1}} I_S$$

$$\frac{\Gamma \subset F_n \quad \forall \Gamma' \subset F_n [\Theta_n, \Gamma', \{A\}_n \vdash \perp] \Rightarrow \perp}{\Gamma, \{A\}_n \subset F_{n+1}} I_n$$

where  $\{A\}_n$  is  $A[x_n/x]$  if  $\phi(n) = \forall x A$  and  $\phi(n)$  otherwise.

The (syntactic) model  $\mathcal{M}_0$  is defined by  $\mathcal{D} \triangleq \mathcal{T}$ ,  $\mathcal{M}(f)(t_1, \dots, t_{a_f}) \triangleq f(t_1, \dots, t_{a_f})$  and  $\mathcal{M}(P)(t_1, \dots, t_{a_P}) \triangleq P(t_1, \dots, t_{a_P}) \in F_\omega$ .

## The object language

We assume given a (non-minimal) set of appropriate object language constructions:

$$\mathbf{ax}_i : [\Gamma, A, \Gamma' \vdash A] \quad (\text{for } \Gamma' \text{ of length } i)$$

$$\mathbf{ax}'_i : [\Gamma, A, \Gamma' \vdash A] \quad (\text{for } \Gamma \text{ of length } i)$$

$$\mathbf{dn} : [\Gamma \vdash \dot{\neg}\dot{\neg}A] \longrightarrow [\Gamma \vdash A]$$

$$\mathbf{abs} : [\Gamma, A \vdash B] \longrightarrow [\Gamma \vdash A \dot{\rightarrow} B]$$

$$\mathbf{app}^{\Rightarrow} : [\Gamma \vdash A \dot{\rightarrow} B] \longrightarrow [\Gamma' \vdash A] \longrightarrow [\Gamma \cup \Gamma' \vdash B]$$

$$\mathbf{drinker}_n : [A[x_n/x] \dot{\rightarrow} \dot{\forall}x A, \Gamma \vdash \dot{\perp}] \longrightarrow [\Gamma \vdash \dot{\perp}] \quad \text{if } \phi(n+1) = \dot{\forall}x A \text{ and } x_n \text{ not in } \dot{\forall}x A, \Gamma$$

$$\mathbf{drinker}_n : [\Gamma \vdash \dot{\perp}] \longrightarrow [\Gamma \vdash \dot{\perp}] \quad \text{otherwise}$$

$$\mathbf{app}^{\forall} : [\Gamma \vdash \dot{\forall}x A(x)] \longrightarrow \forall t \in \mathcal{T} [\Gamma \vdash A(t)]$$

$$\pi_1^{\dot{\rightarrow}} : [\Gamma, A \dot{\rightarrow} B \vdash \dot{\perp}] \longrightarrow [\Gamma \vdash A]$$

$$\pi_2^{\dot{\rightarrow}} : [\Gamma, A \dot{\rightarrow} B \vdash \dot{\perp}] \longrightarrow [\Gamma \vdash \dot{\neg}B]$$

$$\mathbf{efq} : [\Gamma \vdash \dot{\perp}] \longrightarrow [\Gamma \vdash A]$$

## The core of the proof

$$\begin{array}{l}
\downarrow_A : A \in \mathcal{M} \quad \rightarrow A \in F_\omega \\
\downarrow_{P(\vec{t})} \quad m \quad \triangleq m \\
\downarrow_{\perp} \quad m \quad \triangleq \text{efq } m \\
\downarrow_{A \dot{\rightarrow} B} \quad m \quad \triangleq (n, (\dot{\rightarrow} A_0, A \dot{\rightarrow} B), \\
\quad I_n(\text{inj}_n, (\Gamma, f, p)) \mapsto \text{dest } \downarrow_B (m(\uparrow_A (n, \Gamma, f, \pi_1^{\dot{\rightarrow}} p))) \text{ as } (n', \Gamma', f', p') \\
\quad \text{in flush}_{\max(n, n')}^{\Gamma \cup \Gamma'} (\text{join}_{nn'}^{\Gamma \Gamma'} (f, f'), \text{app}^{\Rightarrow} (\pi_2^{\dot{\rightarrow}} p, p')) \\
\quad \text{ax}_1) \quad \text{where } n = \lceil A \dot{\rightarrow} B \rceil \\
\downarrow_{\dot{\forall} x A} \quad m \quad \triangleq \text{dest } \downarrow_{A[x_n/x]} (m x_n) \text{ as } (n', \Gamma', f', p') \\
\quad \text{in } (\max(n, n'), \Gamma', \text{join}_{nn'}^{(\dot{\rightarrow} A_0) \Gamma'} (\text{inj}_n, f'), \text{app}^{\Rightarrow} (\text{ax}'_0, p')) \\
\quad \text{where } n = \lceil \dot{\forall} x A \rceil \\
\\
\uparrow_A : A \in F_\omega \quad \rightarrow A \in \mathcal{M} \\
\uparrow_{P(\vec{t})} \quad (n, \Gamma, f, p) \quad \triangleq (n, \Gamma, f, p) \\
\uparrow_{\perp} \quad (n, \Gamma, f, p) \quad \triangleq \text{flush}_n^\Gamma (f, p) \\
\uparrow_{A \dot{\rightarrow} B} \quad (n, \Gamma, f, p) \quad \triangleq m \mapsto \text{dest } \downarrow_A m \text{ as } (n', \Gamma', f', p') \\
\quad \text{in } \uparrow_B (\max(n, n'), \Gamma \cup \Gamma', \text{join}_{nn'}^{\Gamma \Gamma'} (f, f'), \text{app}^{\Rightarrow} (p, p')) \\
\uparrow_{\dot{\forall} x A} \quad (n, \Gamma, f, p) \quad \triangleq t \mapsto \uparrow_{A[t/x]} (n, \Gamma, f, \text{app}^{\forall} (p, t))
\end{array}$$

## Auxiliary lemmas

$$\text{flush}_n^\Gamma : \Gamma \subset F_n \wedge [\Theta_n, \Gamma \vdash \perp] \longrightarrow \perp$$

$$\text{flush}_0^\Gamma (\mathbb{I}_0, p) \triangleq \text{throw}_{\alpha_0} p$$

$$\text{flush}_{n+1}^\Gamma (\mathbb{I}_s f, p) \triangleq \text{flush}_n^\Gamma (f, \text{drinker}_n p)$$

$$\text{flush}_{n+1}^{\Gamma A} (\mathbb{I}_n(f, k), p) \triangleq k \Gamma f p$$

$$\text{join}_{n_1 n_2}^{\Gamma_1 \Gamma_2} : \Gamma_1 \subset F_{n_1} \wedge \Gamma_2 \subset F_{n_2} \longrightarrow \Gamma_1 \cup \Gamma_2 \subset F_{\max(n_1, n_2)}$$

$$\text{join}_{00}^{\neg A_0 \neg A_0} \mathbb{I}_0 \quad \mathbb{I}_0 \quad \triangleq \quad \mathbb{I}_0$$

$$\text{join}_{(n+1)(n+1)}^{(\Gamma_1 A)(\Gamma_2 A)} \mathbb{I}_n(f_1, k_1) \quad \mathbb{I}_n(f_2, k_2) \quad \triangleq \quad \mathbb{I}_n(\text{join}_{nn}^{\Gamma_1 \Gamma_2} f_1 f_2, k_1)$$

$$\text{join}_{(n+1)(n+1)}^{(\Gamma_1 A)\Gamma_2} \mathbb{I}_n(f_1, k_1) \quad \mathbb{I}_s f_2 \quad \triangleq \quad \mathbb{I}_n(\text{join}_{nn}^{\Gamma_1 \Gamma_2} f_1 f_2, k_1)$$

$$\text{join}_{(n+1)(n+1)}^{\Gamma_1(\Gamma_2 A)} \mathbb{I}_s f_1 \quad \mathbb{I}_n(f_2, k_2) \quad \triangleq \quad \mathbb{I}_n(\text{join}_{nn}^{\Gamma_1 \Gamma_2} f_1 f_2, k_2)$$

$$\text{join}_{(n+1)(n+1)}^{\Gamma_1 \Gamma_2} \mathbb{I}_s f_1 \quad \mathbb{I}_s f_2 \quad \triangleq \quad \mathbb{I}_s(\text{join}_{nn}^{\Gamma_1 \Gamma_2} f_1 f_2)$$

$$\text{join}_{n_1 n_2}^{\Gamma_1 \Gamma_2} \mathbb{I}_s f_1 \quad f_2 \quad \triangleq \quad \mathbb{I}_s(\text{join}_{n'_1 n_2}^{\Gamma_1 \Gamma_2} f_1 f_2) \quad \text{if } n_1 = n'_1 + 1 > n_2$$

$$\text{join}_{n_1 n_2}^{(\Gamma_1 A_1)\Gamma_2} \mathbb{I}_{n'_1}(f_1, k_1) \quad f_2 \quad \triangleq \quad \mathbb{I}_{n'_1}(\text{join}_{n'_1 n_2}^{\Gamma_1 \Gamma_2} f_1 f_2, k_1) \quad \text{if } n_1 = n'_1 + 1 > n_2$$

$$\text{join}_{n_1 n_2}^{\Gamma_1 \Gamma_2} f_1 \quad \mathbb{I}_s f_2 \quad \triangleq \quad \mathbb{I}_s(\text{join}_{n_1 n'_2}^{\Gamma_1 \Gamma_2} f_1 f_2) \quad \text{if } n_1 < n'_2 + 1 = n_2$$

$$\text{join}_{n_1 n_2}^{\Gamma_1(\Gamma_2 A_2)} f_1 \quad \mathbb{I}_{n'_2}(f_2, k_2) \quad \triangleq \quad \mathbb{I}_{n'_2}(\text{join}_{n_1 n'_2}^{\Gamma_1 \Gamma_2} f_1 f_2, k_2) \quad \text{if } n_1 < n'_2 + 1 = n_2$$

$$\text{inj}_n : (\neg A_0) \subset F_n$$

$$\text{inj}_0 \triangleq \mathbb{I}_0$$

$$\text{inj}_{n+1} \triangleq \mathbb{I}_s(\text{inj}_n)$$





## Final weak completeness result

$$\begin{array}{l} \text{class}_0 : (\dot{\vdash} A) \in \mathcal{M}_0 \longrightarrow A \in \mathcal{M}_0 \\ \text{class}_0 \quad m \qquad \qquad \qquad \triangleq \uparrow_A (\text{dest } \downarrow_{\dot{\vdash} A} m \text{ as } (n, \Gamma, f, p) \text{ in } (n, \Gamma, f, \text{dnp})) \end{array}$$

$$\begin{array}{l} \text{compl}_{A_0} : (\forall \mathcal{M} \forall \sigma \text{Class}(\mathcal{M}) \Rightarrow [A_0]_{\mathcal{M}}^\sigma) \longrightarrow \vdash A_0 \\ \text{compl}_{A_0} \quad \psi \qquad \qquad \qquad \triangleq \text{dn}(\text{abs}(\text{catch}_{\alpha_0} \text{dest } \downarrow_{A_0} (\psi \mathcal{M}_0 \text{ id class}_0) \text{ as } (n, \Gamma, f, p) \\ \text{in } \text{efqflush}_n^\Gamma(f, \text{app}^\Rightarrow(\text{ax}_{|\Gamma|-1}, p)) \quad )) \end{array}$$

## Outline

- Reverse mathematics of Gödel's completeness theorem, in  $PA_2$ ,  $ZF$ ,  $HA^2$ ,  $HA_2$ ,  $IZF$ , ...
- Computing with Henkin's proof
- **Tarski semantics as “direct-style” for Kripke semantics: towards a computation with side effects of Gödel's completeness**

## Preliminary I: Soundness, completeness and semantic normalisation

- (strong completeness  $\circ$  soundness) gives cut-elimination
- For “rich-enough” semantics (Kripke, Beth, point-free topology, phase semantics, ...) can be turned into semantic normalisation (Berger-Schwichtenberg 1991, C. Coquand 2002, ...), also related to type-directed partial evaluation (Danvy 1996, ...) following the same proof pattern as in reducibility proofs:
  - adequacy/soundness:  $\mathcal{T} \vdash A$  implies ( $\llbracket \mathcal{T} \rrbracket$  implies  $\llbracket A \rrbracket$ ) (for some semantics)  
 $\hookrightarrow$  proved by induction on proofs
  - escape lemma/completeness: mutually proving reflection ( $\uparrow$ ) :  $\mathcal{T} \vdash_{neutral} A$  implies  $\llbracket A \rrbracket$   
reification ( $\downarrow$ ) :  $\llbracket A \rrbracket$  implies  $\mathcal{T} \vdash_{nf} A$   
 $\hookrightarrow$  by mutual induction on  $A$

Can we do the same w.r.t. Tarskian semantics?

## Preliminary II: Proving with side effects

- Classical logic seen as a side effect:
  - Direct style = a control operator (e.g. `cc` of type Peirce's law) [Griffin 90]
  - Indirect style = continuation-passing-style/double-negation translation within intuitionistic logic ( $K(A) \triangleq \neg\neg A$  and  $(A \Rightarrow B)^* \triangleq A^* \Rightarrow K(B^*)$ , etc.)
- This part of the talk:
  - Interpreting Kripke forcing translation as indirect style for what is in direct style a monotonic memory update
  - Applying this to obtain a proof with side-effect of Gödel's completeness theorem as direct-style presentation of a proof of completeness w.r.t. Kripke semantics

## Kripke forcing translation

Let  $\geq$  be a partial order. A key clause of Kripke forcing is the interpretation of implication:

$$w \Vdash A \Rightarrow B \triangleq \forall w' \geq w [(w' \Vdash A) \Rightarrow (w' \Vdash B)]$$

The transformation

$$\Box_w A(w) \triangleq \forall w' \geq w A(w')$$

can be seen as a dependent environment-passing-style translation, i.e. as indirect style for a monotonic memory update effect.

# Environment-passing-translation

$$\begin{aligned} E(A) & \triangleq W \Rightarrow A \\ (A \Rightarrow B)^* & \triangleq A^* \Rightarrow E(B^*) \\ X^* & \triangleq X \\ (\Gamma \vdash A)^* & \triangleq \Gamma^* \vdash E(A^*) \\ \eta & : A \Rightarrow E(A) \\ \eta x & \triangleq \lambda w. x \\ >>= & : E(A) \Rightarrow (A \Rightarrow E(B)) \Rightarrow E(B) \\ u >>= t & \triangleq \lambda w. t(uw)w \\ \\ x^* & \triangleq \eta x \\ (\lambda x. t)^* & \triangleq \eta \lambda x. t^* \\ (tu)^* & \triangleq t^* >>= \lambda f. (u^* >>= f) \\ \\ w^* & \triangleq \lambda w. w \\ (\text{update } w := t \text{ in } u)^* & \triangleq t^* >>= \lambda w. u^* w \end{aligned}$$

## Direct-style for Kripke forcing

A rule for initialising the use of Kripke forcing:

$$\begin{array}{c}
 \Gamma, [b : x \geq t] \vdash q : T(x) \\
 \Gamma \vdash r : refl \geq \\
 \Gamma \vdash s : trans \geq \\
 x \text{ fresh in } \Gamma \text{ and } T(t) \\
 \hline
 \Gamma \vdash \text{set } x := t \text{ as } b /_{(r,s)} \text{ in } q : T(t) \quad \text{SETEFF}
 \end{array}$$

A rule for updating:

$$\begin{array}{c}
 \Gamma, [b : x \geq t(x')] \vdash q : T(x) \\
 \Gamma \vdash r : t(x') \geq x' \\
 [x \geq u] \in \Gamma \text{ for some } u \\
 x' \text{ fresh in } \Gamma \\
 \hline
 \Gamma \vdash \text{update } x := t(x) \text{ of } x' \text{ as } b \text{ by } r \text{ in } q : T(t(x)) \quad \text{UPDATE}
 \end{array}$$

where we wrote  $T, U$  for  $\rightarrow$ - $\forall$ -free formulas (= intuitively  $\Sigma_1^0$ -formulas = base types)

## Gödel's completeness



# Object language

We consider here the negative fragment of predicate logic as an object language (we consider  $\perp$  to be an arbitrary atom and abbreviate  $\dot{\neg}A \triangleq A \dot{\rightarrow} \perp$ ).

$$\begin{aligned} t &\triangleq x \mid f(t_1, \dots, t_n) \\ F, G &\triangleq \perp \mid \dot{P}(t_1, \dots, t_n) \mid F \dot{\rightarrow} G \mid \dot{\forall}x F \\ \Gamma &\triangleq \epsilon \mid \Gamma, F \end{aligned}$$

We take the following inference rules:

$$\begin{aligned} \mathbf{Ax}^{\Gamma, F, \Gamma'} &: (\Gamma, F \subset \Gamma') \Rightarrow (\Gamma' \vdash F) \\ \mathbf{App}_{\Rightarrow}^{\Gamma, F, G} &: (\Gamma \vdash F \dot{\rightarrow} G) \Rightarrow (\Gamma \vdash F) \Rightarrow (\Gamma \vdash G) \\ \mathbf{Abs}_{\Rightarrow}^{\Gamma, F, G} &: (\Gamma, F \vdash G) \Rightarrow (\Gamma \vdash F \dot{\rightarrow} G) \\ \mathbf{Abs}_{\forall}^{\Gamma, x, F} &: (\Gamma \vdash F) \Rightarrow (x \notin FV(\Gamma)) \Rightarrow (\Gamma \vdash \dot{\forall}x F) \\ \mathbf{App}_{\forall}^{\Gamma, x, t, F} &: (\Gamma \vdash \dot{\forall}x F) \Rightarrow (\Gamma \vdash F[t/x]) \end{aligned}$$

Moreover, the following is admissible:

$$\mathbf{weak}_{\Gamma, F}^{\Gamma'} : (\Gamma \subset \Gamma') \Rightarrow (\Gamma \vdash F) \Rightarrow (\Gamma' \vdash F)$$

We shall also write  $r_F^{\Gamma}$  for a proof of  $\Gamma \subset (\Gamma, F)$ ,

## Tarskian models

A Tarskian model  $\mathcal{M}$  is made of a domain  $\mathcal{D}_{\mathcal{M}}$  for interpreting terms, of an interpretation of function symbols  $\mathcal{F}_{\mathcal{M}}(f) : \mathcal{D}^{a_f} \rightarrow \mathcal{D}$  and of an interpretation of atoms  $\mathcal{P}_{\mathcal{M}}(\dot{P}) \subset \mathcal{D}^{a_{\dot{P}}}$  (for  $a_f, a_{\dot{P}}$  the arity of  $f, \dot{P}$  resp.).

Truth is defined by

$$\begin{aligned}
 \llbracket x \rrbracket_{\mathcal{M}}^{\sigma} &\triangleq \sigma(x) \\
 \llbracket ft_1 \dots t_{a_f} \rrbracket_{\mathcal{M}}^{\sigma} &\triangleq \mathcal{F}_{\mathcal{M}}(f)(\llbracket t_1 \rrbracket_{\mathcal{M}}^{\sigma}, \dots, \llbracket t_{a_f} \rrbracket_{\mathcal{M}}^{\sigma}) \\
 \llbracket \dot{P}(t_1, \dots, t_{a_{\dot{P}}}) \rrbracket'_{\mathcal{M}}^{\sigma} &\triangleq \mathcal{P}_{\mathcal{M}}(\dot{P})(\llbracket t_1 \rrbracket_{\mathcal{M}}^{\sigma}, \dots, \llbracket t_{a_{\dot{P}}} \rrbracket_{\mathcal{M}}^{\sigma}) \\
 \llbracket \dot{\perp} \rrbracket'_{\mathcal{M}}^{\sigma} &\triangleq \mathcal{P}_{\mathcal{M}}(\dot{\perp}) \\
 \llbracket F \dot{\rightarrow} G \rrbracket'_{\mathcal{M}}^{\sigma} &\triangleq \llbracket F \rrbracket'_{\mathcal{M}}^{\sigma} \Rightarrow \llbracket G \rrbracket'_{\mathcal{M}}^{\sigma} \\
 \llbracket \dot{\forall} x F \rrbracket'_{\mathcal{M}}^{\sigma} &\triangleq \forall t \in \mathcal{M}_D \llbracket F \rrbracket'_{\mathcal{M}}^{\sigma[x \leftarrow t]}
 \end{aligned}$$

## Completeness w.r.t Tarskian models

Let *Classic* be the theory containing  $\neg\neg F \rightarrow F$  for all formulas  $F$  (atoms are enough).

We define  $\vdash_C F$  to be *Classic*  $\vdash_M F$  in minimal logic.

A Tarskian model  $\mathcal{M}$  for classical logic is a Tarskian model which satisfies  $\llbracket \textit{Classic} \rrbracket'_{\mathcal{M}}$  (in a classical meta-language, all Tarskian models are classical, but not in an intuitionistic meta-language).

The statement of completeness w.r.t Tarskian models for classical logic is:

$$[\forall \mathcal{M} \forall \sigma (\llbracket \textit{Classic} \rrbracket'_{\mathcal{M}} \Rightarrow \llbracket F \rrbracket'_{\mathcal{M}})] \Rightarrow \textit{Classic} \vdash_M F$$

The usual proof is by contradiction, building a saturated counter-model by enumeration of the formulas.

The proof with effects we shall consider actually works for arbitrary theories, so that we shall consider instead the following statement:

$$(\forall \mathcal{M} \forall \sigma \llbracket F \rrbracket'_{\mathcal{M}}) \Rightarrow \vdash_M F$$

## Completeness w.r.t. Kripke models

## Kripke models

A Kripke model  $\mathcal{K}$  is an increasing family of Tarskian models indexed over a set of worlds  $\mathcal{W}_{\mathcal{K}}$  ordered by  $\geq_{\mathcal{K}}$ . In the absence of  $\forall$  and  $\exists$ , it is enough to take  $\mathcal{D}_{\mathcal{K}}$  constant.

Truth relatively to  $\mathcal{K}$  at world  $w$  is defined by:

$$\begin{aligned}
 \llbracket x \rrbracket_{\mathcal{K}}^{\sigma} &\triangleq \sigma(x) \\
 \llbracket ft_1 \dots t_{a_f} \rrbracket_{\mathcal{K}}^{\sigma} &\triangleq \mathcal{F}_{\mathcal{K}}(f)(\llbracket t_1 \rrbracket_{\mathcal{K}}^{\sigma}, \dots, \llbracket t_{a_f} \rrbracket_{\mathcal{K}}^{\sigma}) \\
 w \Vdash_{\mathcal{K}}^{\sigma} \dot{P}(t_1 \dots t_{a_{\dot{P}}}) &\triangleq \mathcal{P}_{\mathcal{K}}(\dot{P})_w(\llbracket t_1 \rrbracket_{\mathcal{K}}^{\sigma}, \dots, \llbracket t_{a_{\dot{P}}} \rrbracket_{\mathcal{K}}^{\sigma}) \\
 w \Vdash_{\mathcal{K}}^{\sigma} \dot{\perp} &\triangleq \mathcal{P}_{\mathcal{K}}(\dot{\perp})_w \\
 w \Vdash_{\mathcal{K}}^{\sigma} F \dot{\rightarrow} G &\triangleq \forall w' \geq_{\mathcal{K}} w (w' \Vdash_{\mathcal{K}}^{\sigma} F \Rightarrow w' \Vdash_{\mathcal{K}}^{\sigma} G) \\
 w \Vdash_{\mathcal{K}}^{\sigma} \forall x F &\triangleq \forall t \in \mathcal{K}_D w \Vdash_{\mathcal{K}}^{\sigma[x \leftarrow t]} F
 \end{aligned}$$

The statement of completeness w.r.t. Kripke models is:

$$(\forall \mathcal{K} \forall \sigma \forall w \in \mathcal{W}_{\mathcal{K}} w \Vdash_{\mathcal{K}}^{\sigma} F) \Rightarrow \vdash_M F$$

## Completeness w.r.t Kripke models

The “standard” proof works by building the canonical model  $\mathcal{K}_0$  defined by taking  $\mathcal{W}_{\mathcal{K}_0}$  to be the typing contexts ordered by inclusion,  $\mathcal{D}_{\mathcal{K}_0}$  to be the terms,  $\mathcal{K}_{\mathcal{F}}(f)$  to be the syntactic application of  $f$ , and  $\mathcal{K}_{\mathcal{P}}(\dot{P})(\Gamma)(t_1, \dots, t_{a_{\dot{P}}})$  to be  $\Gamma \vdash_M \dot{P}(t_1, \dots, t_{a_{\dot{P}}})$

The main lemma proves  $\Gamma \vdash_M F \iff \Gamma \Vdash_{\mathcal{K}_0} F$  by induction on  $F$

$$\begin{array}{l}
 \uparrow_F^\Gamma \quad \Gamma \vdash_M F \longrightarrow \Gamma \Vdash_{\mathcal{K}_0} F \\
 \uparrow_{\dot{P}(\vec{t})}^\Gamma \quad p \quad \triangleq \quad p \\
 \uparrow_{F \dot{\rightarrow} G}^\Gamma \quad p \quad \triangleq \quad \Gamma' \mapsto h \mapsto m \mapsto \uparrow_G^{\Gamma'} \mathbf{App}_{\Rightarrow}^{\Gamma', F, G} (\mathbf{weak}_{\Gamma, F}^{\Gamma'}(h, p), \downarrow_F^{\Gamma'} m) \\
 \uparrow_{\forall x F}^\Gamma \quad p \quad \triangleq \quad t \mapsto \uparrow_{F[t/x]}^\Gamma \mathbf{App}_{\forall}^{\Gamma, x, F}(p, t) \\
 \\
 \downarrow_F^\Gamma \quad \Gamma \Vdash_{\mathcal{K}_0} F \longrightarrow \Gamma \vdash_M F \\
 \downarrow_{\dot{P}(\vec{t})}^\Gamma \quad m \quad \triangleq \quad m \\
 \downarrow_{F \dot{\rightarrow} G}^\Gamma \quad m \quad \triangleq \quad \mathbf{Abs}_{\Rightarrow}^{\Gamma, F, G} (\downarrow_G^{\Gamma, F} (m (\Gamma, F) r_F^\Gamma (\uparrow_F^{\Gamma, F} \mathbf{Ax}^{\Gamma_1, F, \Gamma}(b_F)))) \\
 \downarrow_{\forall x F}^\Gamma \quad m \quad \triangleq \quad \mathbf{Abs}_{\forall}^{\Gamma, x, F} (\dot{y}, \downarrow_{F[z/x]}^\Gamma (m \dot{y})) \quad \dot{y} \text{ fresh in } \Gamma
 \end{array}$$

And finally:

$$\text{compl} \triangleq v \mapsto \downarrow_A^\epsilon (v \mathcal{K}_0 \emptyset \epsilon) : (\forall \mathcal{K} \forall \sigma \forall w \in \mathcal{W}_{\mathcal{K}} w \Vdash_{\mathcal{K}}^\sigma F) \Rightarrow \vdash_M F$$

Completeness w.r.t. Kripke models in direct-style

## Kripke forcing translation for second-order arithmetic

We consider a second-order arithmetic multi-sorted over first-order datatypes such as  $\mathbb{N}$ , lists, formulas, etc., and with primitive recursive atoms written  $P(t_1, \dots, t_{a_P})$ .

$$A, B \triangleq X(t_1, \dots, t_{a_X}) \mid P(t_1, \dots, t_{a_P}) \mid A \wedge B \mid A \Rightarrow B \mid \forall x A \mid \forall X A$$

Let  $\geq$  be a preorder. We extend Kripke forcing to second order quantification.

$$\begin{aligned} w \vDash X(t_1, \dots, t_{a_X}) &\triangleq X(w, t_1, \dots, t_{a_X}) \\ w \vDash P(t_1, \dots, t_{a_P}) &\triangleq P(t_1, \dots, t_{a_P}) \\ w \vDash A \wedge B &\triangleq (w \vDash A) \wedge (w \vDash B) \\ w \vDash A \Rightarrow B &\triangleq \forall w' \geq w [(w' \vDash A) \Rightarrow (w' \vDash B)] \\ w \vDash \forall x A &\triangleq \forall x w \vDash A \\ w \vDash \forall X A &\triangleq \forall X (\text{mon}(X) \Rightarrow w \vDash A) \end{aligned}$$

where  $\text{mon}(X) \triangleq \forall w \forall w' \geq w (X(w, t_1, \dots, t_{a_X}) \Rightarrow X(w', t_1, \dots, t_{a_X}))$



## Relating completeness w.r.t Tarskian models to completeness w.r.t. Kripke models

We get a stronger statement of completeness by considering completeness w.r.t Kripke models by specifically instantiating  $\mathcal{W}_{\mathcal{K}}$  to be the typing contexts and  $\geq$  to be inclusion of contexts.

$$(\forall(\mathcal{D}_{\mathcal{K}}, \mathcal{F}_{\mathcal{K}}, \mathcal{P}_{\mathcal{K}}) \forall \sigma [\epsilon \Vdash_{(\mathcal{W}_{\mathcal{K}}, \mathcal{D}_{\mathcal{K}}, \mathcal{K}_{\mathcal{F}}, \mathcal{P}_{\mathcal{K}})}^{\sigma} F]) \Rightarrow \vdash_M F$$

Now, applying forcing shows that

$$\epsilon \Vdash_x (\forall(\mathcal{D}_{\mathcal{M}}, \mathcal{F}_{\mathcal{M}}, \mathcal{P}_{\mathcal{M}}) \forall \sigma \Vdash_{(\mathcal{D}_{\mathcal{M}}, \mathcal{F}_{\mathcal{M}}, \mathcal{P}_{\mathcal{M}})} F)$$

is equivalent to

$$\forall(\mathcal{D}_{\mathcal{K}}, \mathcal{F}_{\mathcal{K}}, \mathcal{P}_{\mathcal{K}}) \forall \sigma (\epsilon \Vdash_{(\mathcal{W}_{\mathcal{K}}, \mathcal{D}_{\mathcal{K}}, \mathcal{K}_{\mathcal{F}}, \mathcal{P}_{\mathcal{K}})} F)$$

and hence that *forcing over the statement of completeness w.r.t. Tarskian models is equivalent to the instantiation of the set of worlds to typing contexts of completeness w.r.t. Kripke models*

## Excerpt of our meta-language with effects

$$\frac{\Gamma \vdash p : A(y) \quad y \text{ fresh in } \Gamma}{\Gamma \vdash \lambda y.p : \forall y A(y)} \quad \forall_I$$

$$\frac{\Gamma \vdash p : \forall x A(x) \quad t \text{ updatable-variable-free or } t \text{ an updatable variable and } A(x) \text{ of type 1}}{\Gamma \vdash pt : A(t)} \quad \forall_E$$

$$\frac{\Gamma \vdash p : A(X) \quad X \text{ fresh in } \Gamma}{\Gamma \vdash p : \forall X A(X)} \quad \forall_I^2 \quad \frac{\Gamma \vdash p : \forall X A(X) \quad \Gamma \vdash q : \text{mon}_\Gamma B(\vec{y})}{\Gamma \vdash p : A(X)[B(\vec{y})/X(\vec{y})]} \quad \forall_E^2$$

$$\frac{\Gamma, [b : x \geq t] \vdash q : T(x) \quad \Gamma \vdash r : \text{refl} \geq \quad \Gamma \vdash s : \text{trans} \geq \quad x \text{ fresh in } \Gamma \text{ and } T(t)}{\Gamma \vdash \text{set } x := t \text{ as } b /_{(r,s)} \text{ in } q : T(t)} \quad \text{SETEFF}$$

$$\frac{\Gamma, [b : x \geq t(x')] \vdash q : T(x) \quad \Gamma \vdash r : t(x') \geq x' \quad [x \geq u] \in \Gamma \text{ for some } u \quad x' \text{ fresh in } \Gamma}{\Gamma \vdash \text{update } x := t(x) \text{ of } x' \text{ as } b \text{ by } r \text{ in } q : T(t(x))} \quad \text{UPDATE}$$

where  $C$  of type 1 means in the grammar  $C ::= P(t_1, \dots, t_{a_P}) \mid P(t_1, \dots, t_{a_P}) \Rightarrow C \mid \forall x C$  and  $\text{mon}_\Gamma B$  means  $B$  monotonic for all updatable variables in  $\Gamma$

## The completeness proof in direct-style

In direct style,  $\mathcal{K}_0$  is the model  $\mathcal{M}_0$  defined by  $\mathcal{P}_{\mathcal{M}}(\dot{P})(t_1, \dots, t_{a_{\dot{P}}}) \triangleq \Gamma \vdash \dot{P}(t_1, \dots, t_{a_{\dot{P}}})$  for  $\Gamma$  a given updatable variable

$$\begin{array}{l}
 \uparrow_F \quad \Gamma \vdash_M F \longrightarrow \llbracket F \rrbracket'_{\mathcal{M}_0} \\
 \uparrow_{P(\vec{t})} \quad g \quad \triangleq \quad g \\
 \uparrow_{F \dot{\rightarrow} G} \quad g \quad \triangleq \quad m \mapsto \uparrow_G \mathbf{App}_{\Rightarrow}^{\Gamma, F, G}(g, \downarrow_F m) \\
 \uparrow_{\dot{\forall} x F} \quad g \quad \triangleq \quad t \mapsto \uparrow_{F[t/x]} \mathbf{App}_{\forall}^{\Gamma, x, F}(g, t) \\
 \\
 \downarrow_F \quad \llbracket F \rrbracket'_{\mathcal{M}_0} \longrightarrow \Gamma \vdash_M F \\
 \downarrow_{P(\vec{t})} \quad m \quad \triangleq \quad m \\
 \downarrow_{F \dot{\rightarrow} G} \quad m \quad \triangleq \quad \mathbf{Abs}_{\Rightarrow}^{\Gamma, F, G}(\text{update } \Gamma := (\Gamma, F) \text{ of } \Gamma_1 \text{ as } b_F \text{ by } r_F^\Gamma \text{ in } \downarrow_G (m (\uparrow_F \mathbf{Ax}^{\Gamma_1, F, \Gamma}(b_F)))) \\
 \downarrow_{\dot{\forall} x F} \quad m \quad \triangleq \quad \mathbf{Abs}_{\forall}^{\Gamma, x, F}(\dot{y}, \downarrow_{F[z/x]} (m \dot{y}))
 \end{array}$$

$$\text{compl} \triangleq v \mapsto \text{set } \Gamma := \epsilon \text{ as } b /_{(r,s)} \text{ in } \downarrow_F^\epsilon (v \mathcal{M}_0 \emptyset)$$

Obviously, the resulting proof in the object language is a reification of the proof of validity as in Normalisation-by-Evaluation / semantic normalisation [C. Coquand 93, Danvy 96, Altenkirch-Hofmann 96, Okada 99, ...]

'e.

## Status of the meta-language with update effect

- A certain degree of freedom in the design
  - Basic version using only Kripke forcing is inconsistent with classical logic
  - Local use of classical reasoning providing Markov's principle and Double Negation Shift are possible using Ilik's variant of Kripke forcing
  - A variant consistent with classical logic using Cohen forcing (but then completeness of intuitionistic logic w.r.t. Tarskian semantics not any more provable)
- Justification of the different variants by translation within intuitionistic logic
- Can be equipped with a reduction semantics (derived from the forcing interpretation)